

**GEORGIA STATE UNIVERSITY
RESEARCH FOUNDATION, INC. AND
AFFILIATE
(A COMPONENT UNIT OF THE STATE OF
GEORGIA)**

**FINANCIAL STATEMENTS AND COMPLIANCE
REPORTS**

For the Year Ended June 30, 2013

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)

ANNUAL FINANCIAL REPORT
FY 2013

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Report of Independent Auditor

Board of Directors
Georgia State University Research
Foundation, Inc. and Affiliate
Atlanta, Georgia

We have audited the accompanying financial statements of the business-type activities of Georgia State University Research Foundation, Inc., a discretely presented component unit of the State of Georgia, and its Affiliate, Science Park, LLC, (collectively the “Research Foundation”), which comprise the statement of net position as of June 30, 2013, and the related statements of revenues, expenses, and changes in net position and cash flows for the year then ended, and the related notes to the financial statements.

Management’s Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor’s Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor’s judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Research Foundation’s preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Research Foundation’s internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective net position of the business-type activities and the discretely presented component unit of the Research Foundation, as of June 30, 2013, and the respective changes in net position and the cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 3 through 6 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Cheng Bekert LLP

Atlanta, Georgia

September 16, 2013

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
Management's Discussion and Analysis

Introduction

Georgia State University Research Foundation, Inc. (the "Research Foundation") is a non-profit corporation created to support the research activities of Georgia State University (the "University"). The Research Foundation supports such research activities by accepting and administering grants/contracts received in support of research proposals submitted by University employees through the Research Foundation. The University is one of the 35 institutions of the University System of Georgia. The University, located in Atlanta, Georgia, was founded in 1913. The University offers baccalaureate, masters and doctoral degrees in a wide variety of subjects.

The following discussion and analysis is of the Research Foundation's financial performance. A comparison of grant award funding for a three year period follows:

Georgia State University Grant Award Funding

FY 2013	\$71,141,000
FY 2012	\$66,539,000
FY 2011	\$58,186,000

The Board of Directors of the Research Foundation consists of eleven voting members. The following seven directors hold office by virtue of their responsibilities at the University (even if they are serving in an acting capacity): the Vice President for Research, President, Provost, Vice President for Finance and Administration, Chief Legal Officer, Chair of the Georgia State University Senate Research Committee, and the Associate Vice President for Research. The following four directors are elected annually: one college dean elected by the Dean's Group of Georgia State University; two members of the Georgia State University Senate Research Committee elected by that committee; a member of the faculty of Georgia State University, elected by the Senate Research Committee. Other members of the Board may be elected at any time by the affirmative vote of a majority of Board members, or as authorized by resolution of the Board of Directors. The directors serve on the Board of Directors for unlimited terms as long as they hold their positions in good standing at the University.

Overview of the Financial Statements and Financial Analysis

The Research Foundation is pleased to present its financial statements for fiscal year 2013. The emphasis of discussions about these statements will be on comparative year data. There are three financial statements presented: the Statement of Net Position; the Statement of Revenues, Expenses, and Changes in Net Position; and the Statement of Cash Flows. This discussion and analysis of the Research Foundation's financial statements provides an overview of its financial activities for the year.

Statement of Net Position

The Statement of Net Position presents the assets, liabilities and net position of the Research Foundation as of the end of the fiscal year. The Statement of Net Position is a point of time financial statement. The purpose of the Statement of Net Position is to present to readers of the financial statements a fiscal snapshot of the Research Foundation. The Statement of Net Position presents end-of-year data concerning Assets (current and noncurrent), Liabilities (current and noncurrent), and Net Position (assets minus liabilities). From the data presented, readers of the Statement of Net Position are able to determine the assets available to continue the operations of the Research Foundation.

The Statement of Net Position is classified into components. *Net investment in capital assets* consists of capital assets net of accumulated depreciation and reduced by the current balances of any outstanding borrowings used to finance the purchase or construction of those assets. The *restricted* component of net position consists of restricted assets reduced by liabilities and deferred inflows of resources related to those assets.

Statements of Net Position, Condensed

	<u>June 30, 2013</u>	<u>June 30, 2012</u>	<u>Change</u>	<u>% Change</u>
Assets:				
Current assets	\$ 21,459,086	\$ 22,595,838	\$ (1,136,752)	(5%)
Capital assets, net	5,733,063	4,182,168	1,550,895	37%
Other assets	4,477,457	6,124,825	(1,647,368)	(27%)
Total assets	<u>31,669,606</u>	<u>32,902,831</u>	<u>(1,233,225)</u>	<u>(4%)</u>
Liabilities:				
Current liabilities	12,725,785	15,309,705	(2,583,920)	(17%)
Noncurrent liabilities	3,135	4,555	(1,420)	(31%)
Total liabilities	<u>12,728,920</u>	<u>15,314,260</u>	<u>(2,585,340)</u>	<u>(17%)</u>
Net position:				
Net investment in capital assets	5,729,928	4,177,613	1,552,315	37%
Restricted - Ron Brown Endowment	2,000,000	2,000,000	-	0%
Restricted - other	1,146,747	1,060,940	85,807	8%
Unrestricted	10,064,011	10,350,018	(286,007)	(3%)
Total net position	<u>\$ 18,940,686</u>	<u>\$ 17,588,571</u>	<u>\$ 1,352,115</u>	<u>8%</u>

During fiscal year 2013, total assets decreased by 4% or \$1,233,225. This was due to decreases of \$1,136,752 in current assets, \$1,647,368 in other assets, offset by a \$1,550,895 increase in capital assets, net. More specifically, the decrease in current assets is made up of a decrease of \$2,744,364 in receivables due from research project contracts and an increase in the allowance for doubtful accounts of \$200,000, offset by an increase of \$1,059,656 in cash and equivalents and a net increase of \$779,401 in receivables from and advance payments to Georgia State University. The increase in capital assets, net is due to the purchase of real estate at 40 Pryor Street, valued at \$1,972,438, offset by current year depreciation of \$477,299. Other assets decreased due to closing of the USAID investments and moving those funds to restricted cash – current in the amount of \$2,018,412, offset by an increase in the Research Foundation's other investments of \$455,007.

Total liabilities decreased by 17% or \$2,585,340. This was due to a decrease in accounts payable to the University for reimbursable grant expenses of \$1,553,481 and a decrease in deferred revenue from sponsored projects of \$1,107,489. The total net position increased by 8% or \$1,352,115 during fiscal year 2013 from \$17,588,571 at June 30, 2012.

Statement of Revenues, Expenses and Changes in Net Position

Changes in total net position as presented on the Statement of Net Position are based on the activity presented in the Statement of Revenues, Expenses, and Changes in Net Position. The purpose of this statement is to present the revenues earned by the Research Foundation, both operating and nonoperating, and the expenses incurred by the Research Foundation, operating and nonoperating, and any other revenues, expenses, gains and losses received or spent by the Research Foundation. Generally speaking, operating revenues are received for providing goods and services for the activities of the Research Foundation. Operating expenses are those expenses paid to acquire or produce the goods and services provided in return for the operating revenues, and to carry out the mission of the Research Foundation. Nonoperating revenues are revenues received for which goods and services are not provided. Depreciation is provided for capital assets, and there are required subtotals for net operating income or loss and net income or loss before additions to nonexpendable funds.

Statements of Revenues, Expenses and Changes in Net Position, Condensed

	<u>June 30, 2013</u>	<u>June 30, 2012</u>	<u>Change</u>	<u>% Change</u>
Operating revenues	\$ 55,456,219	\$ 56,008,401	\$ (552,182)	(1%)
Operating expenses	<u>56,313,672</u>	<u>55,891,449</u>	<u>422,223</u>	<u>1%</u>
Operating income (loss)	(857,453)	116,952	(974,405)	(833%)
Nonoperating revenues (expenses)	<u>2,209,568</u>	<u>932,211</u>	<u>1,277,357</u>	<u>137%</u>
Increase (decrease) in net position	1,352,115	1,049,163	302,952	29%
Net position at beginning of year	<u>17,588,571</u>	<u>16,539,408</u>	<u>1,049,163</u>	<u>6%</u>
Net position at end of year	<u>\$ 18,940,686</u>	<u>\$ 17,588,571</u>	<u>\$ 1,352,115</u>	<u>8%</u>

The Statement of Revenues, Expenses, and Changes in Net Position reflects an 8% increase in net position of \$1,352,115 for fiscal year 2013.

Operating revenues in fiscal year 2013 decreased by 1% or \$552,182. Increases in federal grants of \$1,659,014 and licensing fees of \$307,037 were offset by declines in state, private and local grants totaling \$2,518,233.

Operating expenses in fiscal year 2013 increased by 1% or \$422,223. This was due to increased payments to Georgia State University for project costs of \$234,206 and increased general and administrative costs of \$404,532, which includes the addition of a reserve for bad debt of \$200,000, offset by a reduction in depreciation expense of \$216,515, as leasehold improvements were fully depreciated in fiscal year 2013.

Nonoperating income in fiscal year 2013 increased by 137% or \$1,277,357. This was due to a non-cash gift of \$900,000 for the purchase of the 40 Pryor Street property as well as an increase of \$428,889 in net gains on investments.

Statement of Cash Flows

The final statement presented by the Research Foundation is the Statement of Cash Flows. The Statement of Cash Flows presents detailed information about the cash activity of the Research Foundation during the year. The statement is divided into four parts. The first section reflects operating cash flows and shows the net cash provided by the operating activities of the Research Foundation. The second section reflects the cash flows from investing activities and shows the purchases, proceeds, and interest received from investing activities. The third section reflects the capital and related financing activities of the Research Foundation and presents the cash used in capital and related financing activities. The fourth section reconciles the net cash used to the operating income reflected on the Statement of Revenues, Expenses, and Changes in Net Position.

Capital Assets

In fiscal year 2013, the Research Foundation purchased 40 Pryor St., Atlanta, Georgia, for approximately \$1,900,000. Additionally in fiscal year 2013, the Research Foundation placed \$50,000 in escrow for the purchase of 82 Piedmont Ave., Atlanta, Georgia.

At June 30, 2013 and 2012, capital assets consisted of the following:

	<u>2013</u>	<u>2012</u>
Capital assets, not being depreciated:		
Land	\$ 1,205,185	\$ 815,185
Total capital assets, not being depreciated	<u>1,205,185</u>	<u>815,185</u>
Capital assets, being depreciated:		
Buildings and improvements	4,468,326	3,363,020
Capital leases	2,564	3,963
Equipment	56,988	-
Total capital assets being depreciated, net	<u>4,527,878</u>	<u>3,366,983</u>
Capital assets, net	<u>\$ 5,733,063</u>	<u>\$ 4,182,168</u>

Economic Outlook

The Research Foundation is not aware of any currently known facts, decisions, or conditions that are expected to have a significant effect on the financial position or results of operations during the fiscal year beyond those unknown variations having a global effect on virtually all types of business operations. The Research Foundation's overall financial position is strong. The Research Foundation anticipates the current fiscal year will be much like the prior year and will watch over resources to maintain the Research Foundation's ability to react to unknown internal and external issues.

Contacting the Research Foundation's Management

This financial report is designed to provide a general overview of Georgia State University Research Foundation, Inc.'s finances for all those with an interest. Questions concerning any of the information provided in this report or request for additional financial information should be addressed to Gary Brennaman, Business Manager, P.O. Box 3999, Atlanta, Georgia 30302-3999. Separately issued financial statements for Science Park, LLC, may also be obtained from Gary Brennaman at the previously stated address.

Statement of Net Position

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
STATEMENT of NET POSITION
JUNE 30, 2013

	Georgia State University Research Foundation, Inc.	Discretely Presented Component Unit Science Park, LLC
Current assets		
Cash and cash equivalents	\$ 4,742,258	\$ 749,675
Cash and cash equivalents - restricted	2,364,399	10,501,460
Accounts receivable:		
Research project contracts	3,520,832	-
Georgia State University	2,049,609	-
Other receivable	143,505	-
Allowance for doubtful accounts	(200,000)	-
Net investment in direct financing lease	-	5,962,333
Pre-paid expenditures and other current assets	115,124	-
Unbilled project costs	5,172,792	-
Advance payments to Georgia State University	3,550,567	-
Total current assets	21,459,086	17,213,468
Noncurrent assets		
Net investment in direct financing lease	-	78,522,127
Investments - unrestricted	4,477,457	-
Cost of bond issuance, net of accumulated amortization of \$210,948	-	997,263
Capital assets, net	5,733,063	-
Total noncurrent assets	10,210,520	79,519,390
TOTAL ASSETS	31,669,606	96,732,858

See notes to financial statements.

Statement of Net Position, continued

	Georgia State University Research Foundation, Inc.	Discretely Presented Component Unit Science Park, LLC
Current liabilities		
Accounts payable:		
Georgia State University	8,854,585	-
Georgia State University Foundation	9,453	-
Other	311,180	-
Accrued interest payable	-	2,173,563
Bonds payable, current portion	-	1,605,000
Deferred revenue - sponsored projects	3,550,567	-
Total current liabilities	12,725,785	3,778,563
Noncurrent liabilities		
Lease purchase obligation	3,135	-
Bonds payable	-	86,337,854
Total noncurrent liabilities	3,135	86,337,854
TOTAL LIABILITIES	12,728,920	90,116,417
Net position		
Net investment in capital assets	5,729,928	-
Restricted - Ron Brown Endowment	2,000,000	-
Restricted - other	1,146,747	6,616,441
Unrestricted	10,064,011	-
TOTAL NET POSITION	\$ 18,940,686	\$ 6,616,441

See notes to financial statements.

Statement of Revenues, Expenses and Changes in Net Position

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
STATEMENT of REVENUES, EXPENSES and CHANGES in NET POSITION
FOR THE YEAR ENDED JUNE 30, 2013

	Georgia State University Research Foundation, Inc.	Discretely Presented Component Unit Science Park, LLC
Operating revenues		
Federal grants and contracts	\$ 45,540,693	\$ -
Federal stimulus grants	1,150,809	-
State grants and contracts	762,352	-
Private and local grants and contracts	7,695,328	-
Licenses and royalties	307,037	-
Income from investment in direct financing lease	-	4,583,993
Other	-	102,117
Total operating revenues	55,456,219	4,686,110
Operating expenses		
Payments to Georgia State University for project costs	52,453,584	-
Licenses and royalties	758,455	-
Depreciation	477,299	-
General and administrative	2,624,334	194,583
Total operating expenses	56,313,672	194,583
Total operating income (loss)	(857,453)	4,491,527
Nonoperating revenues (expenses)		
Interest and dividend income	47,495	289,853
Net unrealized and realized gains on investments	414,708	-
Interest expense	-	(4,356,908)
Other	1,747,365	-
Total nonoperating revenues (expenses)	2,209,568	(4,067,055)
Change in net position	1,352,115	424,472
Net position, beginning of year	17,588,571	6,191,969
Net position, end of year	\$ 18,940,686	\$ 6,616,441

See notes to financial statements.

Statement of Cash Flows

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
STATEMENT of CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2013

	<u>Georgia State University Research Foundation, Inc.</u>	<u>Discretely Presented Component Unit Science Park, LLC</u>
Cash flows from operating activities		
Received from sponsors	\$ 55,352,770	\$ -
Received from Georgia State University	-	4,686,110
Payments to suppliers	(56,070,439)	(194,583)
Net cash provided by (used in) operating activities	<u>(717,669)</u>	<u>4,491,527</u>
Cash flows from investing activities		
Proceeds from sales of investments	3,113,222	-
Purchases of investments	(2,934,506)	-
Income on investments	47,495	-
Other income	847,365	-
Interest income	-	289,853
Principal payments on direct financing leasing	-	1,369,009
Net cash provided by investing activities	<u>1,073,576</u>	<u>1,658,862</u>
Cash flows from capital and related financing activities		
Purchase of capital assets	(1,178,195)	-
Principal payments on capital lease	(1,420)	-
Interest paid on bonds payable	-	(4,381,662)
Principal payments on bonds payable	-	(1,535,000)
Net cash used in capital and related financing activities	<u>(1,179,615)</u>	<u>(5,916,662)</u>
Net increase (decrease) in cash and cash equivalents	(823,708)	233,727
Cash and cash equivalents		
Beginning of year	<u>7,930,365</u>	<u>11,017,408</u>
End of year	<u>\$ 7,106,657</u>	<u>\$ 11,251,135</u>

See notes to financial statements.

Statement of Cash Flows, continued

	Georgia State University Research Foundation, Inc.	Discretely Presented Component Unit Science Park, LLC
Reconciliation of operating income to net cash provided by (used in) operating activities		
Operating income (loss)	\$ (857,453)	\$ 4,491,527
Adjustments to reconcile operating income (loss) to net cash provided by (used in) operating activities:		
Depreciation and amortization	477,299	-
Provision for doubtful accounts	200,000	-
Changes in assets and liabilities:		
Accounts receivable and unbilled project costs	1,004,038	-
Advance payments to Georgia State University	1,042,365	-
Accounts payable	(1,476,431)	-
Deferred revenue	(1,107,487)	-
Net cash provided by (used in) operating activities	\$ (717,669)	\$ 4,491,527
Reconciliation to total cash and cash equivalents included in the Statement of Net Position		
Cash and cash equivalents	\$ 4,742,258	\$ 749,675
Cash and cash equivalents - restricted	2,364,399	10,501,460
Total cash and cash equivalents included in the Statement of Net Position	\$ 7,106,657	\$ 11,251,135
Noncash investing, capital and financing activities		
Unrealized losses on investments	\$ (371,055)	\$ -
Non cash gift – real estate	\$ 900,000	\$ -

See notes to financial statements.

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013

RESEARCH FOUNDATION

Note 1 – Organization

Georgia State University Research Foundation, Inc. (the “Research Foundation”), a component unit of the State of Georgia, was established to contribute to the scientific, literary, educational, and charitable functions of Georgia State University (the “University”) in securing gifts, contributions and grants from individuals, private organizations, and public agencies, and in obtaining contracts with such individuals or entities for the performance of sponsored research, development, or other programs by the various colleges, schools, departments, or other units of the University.

Research grants awarded to the Research Foundation are then provided to the University, which is responsible for the fiscal administration of the grants.

Note 2 – Summary of significant accounting policies

Basis of presentation

The financial statements of the Research Foundation have been prepared in conformity with accounting principles generally accepted in the United States of America (“GAAP”) and in accordance with Governmental Accounting Standards Board (“GASB”) applicable to governments. The financial statement presentation required by GAAP provides a comprehensive, entity-wide perspective of the Research Foundation’s assets, liabilities, net position, revenues, expenses, changes in net position, and cash flows. In addition, these statements require the Research Foundation to present a Management’s Discussion and Analysis (“MD&A”). The MD&A is considered to be required supplemental information and precedes the financial statements.

Reporting entity

In accordance with GAAP, the Research Foundation qualifies for treatment as a component unit of the State of Georgia; therefore, the Research Foundation’s financial statements are included in the State of Georgia’s combined financial statements.

Science Park, LLC qualifies as a component unit of the Research Foundation. The statements of Science Park, LLC are reported discretely in the Research Foundation’s financial statements. Notes related to financial statements of Science Park, LLC follow the notes for the Research Foundation.

The GSU Research Center, LLC is a limited liability company with the Research Foundation as its sole member. The purpose of the GSU Research Center, LLC is to acquire, manage, develop, lease and operate a certain property in DeKalb County, Georgia. As the activities of the GSU Research Center, LLC are not deemed significant to the Research Foundation, the GSU Research Center, LLC is not presented as a discrete component unit in these financial statements.

Risk Index Partners, LLC is a joint venture between the Research Foundation and the Society of Actuaries. The purpose of Risk Index Partners, LLC is to aggregate data from global risk professionals to create an index and to publish and sell subscriptions to that index. As the activities of Risk Index Partners, LLC are not deemed significant to the Research Foundation, Risk Index Partners, LLC is not presented as a discrete component unit in these financial statements.

Panthers on Pryor, LLC is a limited liability company with the Research Foundation as its sole member. The purpose of Panthers on Pryor, LLC is to acquire, manage, develop, lease and operate a certain property in Atlanta, Georgia. As the activities of Panthers on Pryor, LLC are not deemed significant to the Research Foundation, Panthers on Pryor, LLC is not presented as a discrete component unit in these financial statements.

Basis of accounting

For financial reporting purposes, the Research Foundation is considered a special-purpose government entity engaged only in business-type activities. Accordingly, the Research Foundation's financial statements have been presented using the economic resources measurement focus and the accrual basis of accounting. Under the accrual basis, revenues are recognized when earned, and expenses are recorded when an obligation has been incurred.

During the year ended June 30, 2013, the Research Foundation adopted issued Statements of Governmental Accounting Standards ("SGAS") No. 61, *The Financial Reporting Entity: Omnibus*, which amends GASB Statements No. 14 and 34 regarding the assessment of potential component units to be included in the reporting entity. Certain organizations are required to be included as component units because they are fiscally dependent on the primary government. In addition to fiscal dependency, the pronouncement now requires that a financial benefit or burden be present between the primary government and the potential component unit in order for it to be included in the reporting entity of the primary government. The pronouncement also changes and adds new criteria for determining whether a component unit should be blended or discretely presented. The adoption of this statement did not have an impact on the presentation of Science Park, LLC as a discrete component unit.

During the year ended June 30, 2013, the Research Foundation adopted the provisions of SGAS No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position*. SGAS No. 63 identifies net position as the residual of all other elements presented in a statement of financial position. SGAS No. 63 amends the net asset reporting requirements in SGAS No. 34, *Basic Financial Statements—and Management's Discussion and Analysis—for State and Local Governments*, and other pronouncements by incorporating deferred outflows of resources and deferred inflows of resources into the definitions of the required components of the residual measure and by renaming that measure as net position, rather than net assets. The implementation of SGAS No. 63 did not result in a change to beginning net position.

In March 2012, GASB issued SGAS No. 65, *Items Previously Reported as Assets and Liabilities*. This Statement establishes accounting and financial reporting standards that reclassify, as deferred outflows of resources or deferred inflows of resources, certain items that were previously reported as assets and liabilities and recognizes, as outflows of resources or inflows of resources, certain items that were previously reported as assets and liabilities. The guidance provided in SGAS No. 65 will be effective for the Research Foundation for the year ending June 30, 2014. The Research Foundation's management is currently evaluating the impact this pronouncement will have on its financial statements.

Cash and cash equivalents

The Research Foundation considers all highly liquid investments with an original maturity of three months or less to be cash equivalents.

Allowance for Doubtful Accounts

The Research Foundation reviews the outstanding accounts receivable periodically, as well as the bad debt write-offs experienced in the past, and establishes an allowance for doubtful accounts. Account balances are charged off against the allowance when the Research Foundation determines it is probable that the receivable will not be recovered. If actual collections of sponsoring agency receivables differ from the Research Foundation's estimates, additional allowances may be required.

Investments

The Research Foundation is required to present certain investments at their fair value if the investment has a readily determined market value. Substantially all investments consist of marketable equity securities that are invested by the Georgia State University Foundation, Inc. on behalf of the Research Foundation and securities obtained through the sale of patent licenses. Investments are recorded at fair value with all unrealized and realized gains and losses included as increases or decreases in unrestricted or restricted expendable net position. Fair value of securities is determined based on publicly traded prices or investment company quotations. Investments in private equity funds often do not have readily determinable fair values, and are valued using the most current information provided by the general partner and/or the investment manager.

Derivative Financial Instruments

The Research Foundation is required to present certain information addressing the recognition, measurement, and disclosure regarding derivative instruments entered into in order to hedge a portion of its current and future borrowings for the purpose of managing interest rate risk. At June 30, 2013, the Research Foundation does not have any derivative financial instruments.

Capital assets

Capital assets are recorded at cost at the date of acquisition or market value at the date of donation in the case of gifts. For equipment, the Research Foundation's capitalization policy includes all items with a unit cost of \$5,000 or more and an estimated useful life of greater than one year. Renovations to buildings, infrastructure, and land improvements that exceed \$100,000 and significantly increase the value or extend the useful life of the asset are capitalized. Routine repairs and maintenance are charged to operating expense in the year in which the expense is incurred. Depreciation is computed using the straight-line method over the estimated useful lives of the assets, generally 40 years for buildings and improvements, 5 to 10 years for land improvements and 5 to 40 years for leasehold improvements. Residual values are estimated to be 10% of historical cost for infrastructure, buildings and building improvements.

Deferred revenue

Deferred revenue includes amounts received from grant and contract sponsors that have not yet been earned.

Net position

The Research Foundation's net position is composed of the following:

Restricted - Ron Brown Endowment: Restricted - Ron Brown Endowment consists of endowment funds, which the donor has stipulated, as a condition of the gift instrument, that the principal is to be maintained inviolate and in perpetuity, and invested for the purpose of producing present and future income, which may either be expended or added to principal.

Restricted - Ron Brown Endowment	\$ 2,000,000
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Restricted - other: Restricted - other includes resources in which the Research Foundation is legally or contractually obligated to spend resources in accordance with restrictions imposed by external third parties.

Restricted - other consisted of the following at June 30, 2013:

Ron Brown Endowment Projects	\$ 18,404
Atlanta Census Research Data Center	603,111
Confucius Institute	86,997
Risk Index Partners	92,248
Georgia Health Policy Center	345,987
Total	<u>\$ 1,146,747</u>

Unrestricted: Unrestricted net position represents resources derived from contracts, grants and fees. These resources are used for the ongoing operations of the Research Foundation and may be used at the discretion of the governing board to meet current expenses for those purposes and to enhance programs at the University.

When an expense is incurred that can be paid using either restricted or unrestricted resources, the Research Foundation generally applies the expense towards restricted resources and then towards unrestricted resources.

Net investment in capital assets: This represents the Research Foundation's total investment in capital assets, net of related debt, if any.

Revenue

Revenue from research contracts is recognized as expenses are incurred for approved research activities. Amounts expended but not yet reimbursed are recorded as unbilled and billed project costs. Payments by research sponsors in advance of approved research expenses are recorded as deferred revenue.

Classification of revenues

The Research Foundation has classified its revenues as either operating or nonoperating in the Statement of Revenues, Expenses, and Changes in Net Position according to the following criteria:

Operating revenues: Operating revenues include activities that have the characteristics of exchange transactions. Revenue from federal, state and local grants and contracts is considered operating revenue. Revenue from license and royalty arrangements is also considered operating revenue.

Nonoperating revenues: Nonoperating revenues include activities that have the characteristics of non-exchange transactions, such as gifts and contributions, other revenue sources that are defined as nonoperating revenues by GAAP, such as investment income, and revenues derived from non-research and non-licensing activities.

Income taxes

The Research Foundation is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code (the "Code"), whereby only unrelated business income, as defined by Section 512(a)(1) of the Code, is subject to federal income tax. In addition, the Research Foundation is not classified as a private foundation based on a determination received from the Internal Revenue Service.

Use of estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Subsequent events

In preparing these financial statements, the Research Foundation has evaluated events and transactions for potential recognition or disclosure through September 16, 2013, the date these financial statements were available to be issued.

Note 3 – Deposits

The custodial credit risk for deposits is the risk that in the event of a bank failure, the Research Foundation's deposits may not be recovered. In lieu of a surety bond, the depository may pledge as collateral any one or more of the following securities as enumerated in the Official Code of Georgia Annotated Section 50-17-59:

- (a) Bonds, bills, notes, certificates of indebtedness or other direct obligations of the United States or of the State of Georgia.

- (b) Bonds, bills, notes, certificates of indebtedness or other obligations of the counties or municipalities of the State of Georgia.
- (c) Bonds of any public authority created by the laws of the State of Georgia, providing that the statute that created the authority authorized the use of the bonds for this purpose.
- (d) Industrial revenue bonds and bonds of development authorities created by the laws of the State of Georgia.
- (e) Bonds, bills, certificates of indebtedness, notes or other obligations of a subsidiary corporation of the United States government, which are fully guaranteed by the United States government both as to principal and interest and debt obligations issued by the Federal Land Bank, the Federal Home Loan Bank, the Federal Intermediate Credit Bank, the Central Bank for Cooperatives, the Farm Credit Banks, the Federal Home Loan Mortgage Association and the Federal National Mortgage Association.
- (f) Guarantee or insurance of accounts provided by the Federal Deposit Insurance Corporation.

At June 30, 2013, the Research Foundation's carrying amount of deposits was \$7,106,657, and the bank balance was \$7,130,803. Of the bank balance, \$250,000 was covered by FDIC insurance at June 30, 2013, and \$6,865,871 was collateralized by the State of Georgia pledging pool which thereby guarantees collateralization of any uninsured bank deposit balances. The remaining uncollateralized balance of \$14,932 consists of cash equivalents held by investment custodians. These cash equivalents consisted of money market funds which are comprised of investments with an average credit quality of A1 + P1.

Note 4 – Investments

The Research Foundation's investments by investment type at June 30, 2013 are as follows:

Investment Type	Fair Value
Equity Securities - Domestic	\$ 1,214,913
Equity Securities – International	429,975
Global Equity	514,392
Emerging Markets	288,642
Fixed Income	433,559
Alternative Investments	424,633
Real Estate Investment Trust	215,064
High Yield Bond Fund	431,698
Venture Capital	397,463
Other	127,118
Total investments	<u>\$ 4,477,457</u>

The above investments are included in Investments – unrestricted in the Statement of Net Position.

All investments with the exception of certain equity securities and the investment in the Georgia Research Alliance Venture Fund, LLP (the "Fund") of \$102 and \$144,734, respectively, at June 30, 2013, are held by the Georgia State University Foundation, Inc. on behalf of the Research Foundation and are held by outside investment managers.

Credit quality risk

Credit quality risk is the risk that an issuer or other counterparty to an investment will not fulfill its obligations. The Research Foundation's investment policies are consistent with the investment policies of Georgia State University Foundation, Inc. The Research Foundation does not have a formal policy related to credit quality risk of investments.

The Research Foundation's investments as of June 30, 2013 presented by investment type and fixed income securities presented by credit quality ratings are as follows:

	Fair Value	Mutual Funds
Quality ratings		
Standard and Poor's		
Aaa	\$ 337,142	\$ 337,142
Aa	19,857	19,857
A	47,648	47,648
Baa	47,345	47,345
Bbb	17,268	17,268
Bb	91,520	91,520
B or less	297,440	297,440
Unrated	7,037	7,037
	<u>865,257</u>	<u>\$ 865,257</u>
Exempt investments:		
Equity Securities – Domestic	1,214,913	
Equity Securities – International	1,233,009	
Real Estate Investment Trust	215,064	
Managed Futures/Hedge Funds	551,751	
Venture Capital/Alt Investments	397,463	
	<u>\$ 4,477,457</u>	

Interest rate risk

Interest rate risk is the risk that changes in interest rates of debt investments will adversely affect the fair value of an investment. The Research Foundation's policy for managing interest rate risk is divided between short-term and long-term investments. Short-term investments will have a maximum maturity of eighteen months to five years depending on the type of investment. Long-term investments are managed using a planning timeline of five years or more and overall risk measurements rather than specific maturity limits.

Custodial credit risk

Custodial credit risk for investments is the risk that, in the event of a failure of the counterparty to a transaction, the Research Foundation will not be able to recover the value of the investment or collateral securities that are in the possession of an outside party. The Research Foundation does not have a formal policy for managing custodial credit risk for investments.

At June 30, 2013, all of the Research Foundation's investments were uninsured and held by the Research Foundation's counterparty in the Research Foundation's name.

Concentration of Credit Risk

Concentration of credit risk is the risk of loss attributed to the magnitude of an entity's investment in a single issuer. The Research Foundation's policy for managing concentration of credit risk is divided amongst investment type as follows for the year ended June 30, 2013:

International equities	28%
Domestic equities	27%
Bonds	19%
Managed Futures / Hedge Funds	12%
Alternative investments	9%
Real estate	5%

Foreign currency risk

Foreign currency risk is the risk that changes in exchange rates will adversely affect the fair value of an investment or a deposit. The Research Foundation's investments are not exposed to foreign currency risk as securities denominated in currencies other than the U.S. dollar are not permissible by the Georgia State University Foundation, Inc.'s investment policy.

Note 5 – Capital Assets

Following are the changes in capital assets for the year ended June 30, 2013:

	Beginning Balance July 1, 2012	Additions	Ending Balance June 30, 2013
Capital assets, not being depreciated:			
Land	\$ 815,185	\$ 390,000	\$ 1,205,185
Total capital assets, not being depreciated	815,185	390,000	1,205,185
Capital assets, being depreciated:			
Buildings and improvements	3,999,973	1,572,438	5,572,411
Facilities and other improvements	3,355,051	-	3,355,051
Capital leases	6,994	-	6,994
Equipment	-	65,756	65,756
Total capital assets, being depreciated	7,362,018	1,638,194	9,000,212
Less accumulated depreciation:			
Buildings and improvements	1,018,802	128,457	1,147,259
Facilities and other improvements	2,973,202	338,675	3,311,877
Capital leases	3,031	1,399	4,430
Equipment	-	8,768	8,768
Total accumulated depreciation	3,995,035	477,299	4,472,334
Total capital assets being depreciated, net	3,366,983	1,160,895	4,527,878
Capital assets, net	\$ 4,182,168	\$ 1,550,895	\$ 5,733,063

Note 6 – Indirect Cost Revenue

The Research Foundation receives reimbursement from grantors for indirect costs incurred. The Research Foundation sends all of the indirect cost reimbursements to the University. The University then remits 22% of the indirect cost reimbursements to the Research Foundation. The amounts received back from the University totaled \$2,172,228 during the fiscal year ended June 30, 2013, and are recorded within operating revenues in the accompanying financial statements.

Note 7 – Contingencies

The Research Foundation receives numerous state and federal grants, which are governed by various rules and regulations of the grantor agencies. Costs charged to the respective grants are subject to audit and adjustment by the grantor agencies; therefore, to the extent that the Research Foundation has not complied with the rules and regulations governing the grants, refunds of any amounts received may be required and the collectability of any related receivable at year-end may be impaired. In the opinion of the Research Foundation, there are no significant contingent liabilities relating to compliance with the rules and regulations governing the respective grants; therefore, no provision has been recorded in the statement of revenues, expenses, and changes in net position for such contingencies.

Note 8 – Significant funding sources

During the fiscal year ended June 30, 2013, the Research Foundation derived approximately 81% of its revenue from contracts with the U.S. government. At June 30, 2013, approximately 49% of the billed research contracts accounts receivable was from the U.S. Government. Management does not believe these receivables represent a significant credit risk at June 30, 2013.

Note 9 – Related party transactions

During the year ended June 30, 2013, the Research Foundation paid investment management fees of \$36,538 and real estate rent of \$141,531 to Georgia State University Foundation, Inc.

Note 10 – Commitments

The Research Foundation had an operating lease commitment to Panther Place, LLC for office space located in Atlanta, Georgia. Rent expense was \$103,074 for the year ended June 30, 2013.

Effective June 15, 2009, the Research Foundation made a commitment to provide support in the amount of \$500,000 to the Georgia Research Alliance Venture Fund, LLP. This support will be provided over a five year period, or a maximum of \$100,000 per year beginning in the fiscal year ended June 30, 2010. During the 2013 fiscal year, the Research Foundation contributed \$50,153 which is held in investments. Total contributions to the fund at June 30, 2013 were \$154,734.

Note 11 – Subsequent Events

On August 8, 2013, the Research Foundation closed on the purchase of the 82 Piedmont Ave., Atlanta, Georgia property for approximately \$1,252,000.

SCIENCE PARK

Note 1 – Organization

Science Park, LLC (“Science Park”), a component unit of Georgia State University Research Foundation, Inc. (the “Research Foundation”), was incorporated as a single member limited liability company on August 9, 2006. The Research Foundation is the sole member of Science Park. Science Park was created to develop a 248,806 square-foot science research facility (the “Project”). Science Park leased the facility to the Board of Regents of the University System of Georgia for the use and benefit of Georgia State University (the “University”).

Note 2 – Summary of significant accounting policies

Basis of presentation

The financial statements of Science Park have been prepared in conformity with accounting principles generally accepted in the United States of America (“GAAP”) and in accordance with Governmental Accounting Standards Board (“GASB”) applicable to governments. The financial statement presentation required by GAAP provides a comprehensive, entity-wide perspective of Science Park’s assets, liabilities, net position, revenues, expenses, changes in net position, and cash flows.

Basis of accounting

For financial reporting purposes, Science Park is considered a special-purpose government entity engaged only in business-type activities. Accordingly, Science Park’s financial statements have been presented using the economic resources measurement focus and the accrual basis of accounting. Under the accrual basis, revenues are recognized when earned, and expenses are recorded when an obligation has been incurred.

During the year ended June 30, 2013, Science Park adopted issued Statements of Governmental Accounting Standards (“SGAS”) No. 61, *The Financial Reporting Entity: Omnibus*, which amends GASB Statements No. 14 and 34 regarding the assessment of potential component units to be included in the reporting entity. Certain organizations are required to be included as component units because they are fiscally dependent on the primary government. In addition to fiscal dependency, the pronouncement now requires that a financial benefit or burden be present between the primary government and the potential component unit in order for it to be included in the reporting entity of the primary government. The pronouncement also changes and adds new criteria for determining whether a component unit should be blended or discretely presented. The adoption of this statement did not have an impact on the presentation of the financial statements.

During the year ended June 30, 2013, Science Park adopted the provisions of SGAS No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources, and Net Position*. SGAS No. 63 identifies net position as the residual of all other elements presented in a statement of financial position. SGAS No. 63 amends the net asset reporting requirements in SGAS No. 34, *Basic Financial Statements— and Management’s Discussion and Analysis—for State and Local Governments*, and other pronouncements by incorporating deferred outflows of resources and deferred inflows of resources into the definitions of the required components of the residual measure and by renaming that measure as net position, rather than net assets. The implementation of SGAS No. 63 did not result in a change to beginning net position.

In March 2012, GASB issued SGAS No. 65, *Items Previously Reported as Assets and Liabilities*. This Statement establishes accounting and financial reporting standards that reclassify, as deferred outflows of resources or deferred inflows of resources, certain items that were previously reported as assets and liabilities and recognizes, as outflows of resources or inflows of resources, certain items that were previously reported as assets and liabilities. The guidance provided in SGAS No. 65 will be effective for Science Park for the year ending June 30, 2014. Science Park’s management is currently evaluating the impact this pronouncement will have on its financial statements.

Reporting entity

Science Park qualifies for treatment as a component unit of the Research Foundation; therefore, Science Park's financial statements are included in the Research Foundation's financial statements as a discretely presented component unit.

Cash and cash equivalents

Science Park considers all highly liquid investments with an original maturity of three months or less to be cash equivalents. As of June 30, 2013, the majority of cash held by Science Park is held by an independent trustee and is restricted for the purpose of funding construction costs, interest, administrative fees, debt service reserves, and costs of issuance associated with the bond offering. Investments made by the trustee are considered cash equivalents and are made in accordance with the trust indenture.

Cost of bond issuance

Cost of bond issuance is stated at cost, less accumulated amortization and includes direct, incremental costs associated with the issuance of the bonds. Issuance costs are amortized to interest expense using the effective interest method.

Capitalized interest

Interest incurred during construction of the Project was capitalized until the Project was completed and ready for its intended use. Because the Project is financed by tax-exempt borrowings, including periodic amortization of any related discount or premium and issue costs of borrowings, the interest related to the Project is capitalized after reduction for interest earned on temporary investment of the proceeds of those borrowings from the date of borrowing until the specified qualifying assets acquired with those borrowings are ready for their intended use. At the time the qualifying assets are placed in service, amortization of the capitalized interest begins, straight-line, over the estimated useful lives of the related assets. During the year ended June 30, 2013, no interest was capitalized.

Bonds payable

Science Park records the net proceeds of tax-exempt bond financing as a liability upon issuance. Bond proceeds consist of the par value of the bonds issued plus premiums or minus discounts. Bond premiums are amortized to interest expense using the effective interest method.

Net position

Science Park's net position is classified as follows:

Net investment in capital assets: This represents Science Park, LLC's total investment in capital assets, net of outstanding debt obligations related to those capital assets.

Restricted - other: This includes resources in which the Research Foundation is legally or contractually obligated to spend resources in accordance with restrictions imposed by external third parties.

Restricted - other consisted of the following at June 30, 2013:

Reserve and renewal	\$ 627,544
Restricted for bond repayment	<u>5,988,897</u>
Total	<u><u>\$ 6,616,441</u></u>

Unrestricted: Unrestricted net position represents resources derived from nonoperating income. These resources are used for transactions relating to the enhancement of the University, and may be used at the discretion of the governing board to meet current expenses for those purposes.

Use of estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Classification of revenues

Science Park has classified its revenues as either operating or nonoperating revenues in the Statement of Revenues, Expenses, and Changes in Net Position according to the following criteria:

Operating revenues: Operating revenues include activities that have the characteristics of exchange transactions.

Nonoperating revenues: Nonoperating revenues include activities that have the characteristics of non-exchange transactions, such as gifts and contributions, and other revenue sources that are defined as nonoperating revenues by GAAP, such as investment income.

Income taxes

Science Park is a single member LLC, with the Research Foundation as the sole member. As a result, it shall be disregarded as a separate entity for tax purposes and therefore, no provision for federal income taxes has been made in the accompanying financial statements. The activities of Science Park are reported for tax purposes as part of the Research Foundation's tax return.

Subsequent events

In preparing these financial statements, Science Park has evaluated events and transactions for potential recognition or disclosure through September 16, 2013, the date these financial statements were available to be issued.

Note 3 – Deposits

The custodial credit risk for deposits is the risk that in the event of a bank failure, Science Park's deposits may not be recovered. Science Park does not have a deposit policy for custodial credit risk.

At June 30, 2013, the book value of Science Park's deposits, including demand accounts and cash and cash equivalents held in managed investment accounts, was \$11,251,135. The bank and investment account balances at June 30, 2013 were \$11,251,135, of which \$11,001,135 was uninsured. Of these uninsured deposits, \$6,095,342 was collateralized with securities held by the financial institution's trust department or agent in Science Park's name. The money market funds are rated AAAm – G by Standard and Poor's Rating Services.

Note 4 – Net Investment in Direct Financing Lease

Science Park constructed a science research facility for a total cost of \$86,234,363. Science Park leases the facility to the Board of Regents of the University System of Georgia for and on behalf of the University. Science Park is accounting for this transaction as a direct financing capital lease. The lease entitles Science Park to receive direct and indirect funding for insurance, taxes, bond and interest obligations, repairs and maintenance, and other ancillary expenses. The lease contains an annual renewal option as of specified dates in the agreement.

For the year ended June 30, 2013, the interest income from investment in direct financing lease was \$4,583,993.

Future minimum net amounts receivable under direct financing lease at June 30, 2013 are as follows:

For the fiscal year ended June 30,	
2014	\$ 5,962,333
2015	5,967,586
2016	5,974,042
2017	5,979,706
2018	5,989,086
2019 – 2023	30,062,100
2024 – 2028	30,282,328
2029 – 2033	30,523,704
2034 – 2038	30,828,583
2039 - 2040	6,204,645
Total lease receivable	157,774,113
Less unearned interest	(73,289,653)
	<u>\$ 84,484,460</u>

Note 5 – Bonds payable

Bonds payable activity for the year ended June 30, 2013, was as follows:

	Beginning Balance July 1, 2012	Additions	Reductions	Ending Balance June 30, 2013
Series 2007 Bonds	\$ 88,735,000	\$ -	\$ (1,535,000)	\$ 87,200,000
Unamortized bond premium	771,425	-	(28,571)	742,854
Total	<u>\$ 89,506,425</u>	<u>\$ -</u>	<u>\$ (1,563,571)</u>	<u>\$ 87,942,854</u>

\$90,205,000 Bond Issue – The Series 2007 Bonds were issued pursuant to a Trust Indenture and Security Agreement dated as of December 1, 2007 (the “Indenture”), between the Atlanta Development Authority (the “Authority”) and Branch Banking and Trust Company, Wilson, North Carolina as trustee (the “Trustee”). The Authority loaned proceeds of the sale of the Series 2007 Bonds to Science Park pursuant to the terms and provisions of a Loan Agreement dated December 1, 2007 (the “Loan Agreement”), between the Authority and Science Park. Science Park’s obligations under the Loan Agreement will be evidenced by a Promissory Note dated December 1, 2007 (the “Promissory Note”).

Science Park used proceeds of the Series 2007 Bonds to (i) finance or refinance, in whole or in part, the cost of the acquisition, construction and equipping of a 248,806 square-foot research facility located in a new Georgia State University Science Park on the campus of the University; (ii) fund a debt service reserve fund for the Series 2007 Bonds; (iii) fund capitalized interest for the Series 2007 Bonds; and (iv) pay costs of issuance of the Series 2007 Bonds.

Term bonds under the Loan Agreement bear interest payable semiannually on January 1 and July 1 at fixed rates ranging from 4.75% to 5.25% depending on the schedule of bond maturities. Serial bonds under the loan agreement bear interest payable semi-annually on January 1 and July 1 at a rate of 4.50% until July 1, 2014 when the interest rate increases to 5.00%. Principal payments are due on July 1 beginning in 2011 and continuing until 2039.

The Series 2007 Bonds are payable solely from the Trust Estate which includes all of the Authority's right, title and interest in and to the Loan Agreement, Promissory Note, a deed to secure debt assignment of rents and leases, a security agreement, amounts held in certain funds under the Indenture, moneys and securities and interest earnings thereon from time to time delivered to and held by the Trustee under the terms of the Indenture, and proceeds of any and all of the foregoing.

Optional Redemption. The Series 2007 Bonds maturing on July 1, 2018 and thereafter will be subject to optional redemption prior to maturity by the Authority upon the written request of Science Park pursuant to the Loan Agreement, from moneys on deposit in the Redemption Account, in whole or in part on any interest payment date (and if in part in an authorized denomination) on or after July 1, 2017 at a redemption price of par, plus accrued interest to the redemption date.

Mandatory Sinking Fund Redemption. The Series 2007 Bonds maturing on July 1, 2027 and bearing interest at 4.75% are subject to mandatory sinking fund redemption on July 1, 2023 and on each July 1, thereafter, in accordance with the Indenture, at a redemption price equal to the principal amount of each Series 2007 Bond (or portion thereof) to be redeemed plus accrued interest to the date fixed or redemption.

The Series 2007 Bonds maturing on July 1, 2027 and bearing interest at 5.25% are subject to mandatory sinking fund redemption on July 1, 2023 and on each July 1, thereafter, in accordance with the Indenture, at a redemption price equal to the principal amount of each Series 2007 Bond (or portion thereof) to be redeemed plus accrued interest to the date fixed or redemption.

The Series 2007 Bonds maturing on July 1, 2032 and bearing interest at 5.00% are subject to mandatory sinking fund redemption on July 1, 2028 and on each July 1, thereafter, in accordance with the Indenture, at a redemption price equal to the principal amount of each Series 2007 Bond (or portion thereof) to be redeemed plus accrued interest to the date fixed or redemption.

The Series 2007 Bonds maturing on July 1, 2032 and bearing interest at 5.25% are subject to mandatory sinking fund redemption on July 1, 2028 and on each July 1, thereafter, in accordance with Indenture, at a redemption price equal to the principal amount of each Series 2007 Bond (or portion thereof) to be redeemed plus accrued interest to the date fixed or redemption.

The Series 2007 Bonds maturing on July 1, 2039 and bearing interest at 5.00% are subject to mandatory sinking fund redemption on July 1, 2033 and on each July 1, thereafter, in accordance with Indenture, at a redemption price equal to the principal amount of each Series 2007 Bond (or portion thereof) to be redeemed plus accrued interest to the date fixed or redemption.

Annual debt service requirements on the Series 2007 Bonds outstanding at June 30, 2013 are as follows:

For the fiscal year ending June 30,	Principal	Interest	Total
2014	\$ 1,605,000	\$ 4,274,900	\$ 5,879,900
2015	1,680,000	4,190,900	5,870,900
2016	1,760,000	4,102,900	5,862,900
2017	1,850,000	4,010,400	5,860,400
2018	1,940,000	3,913,400	5,853,400
2019 - 2023	11,270,000	17,931,500	29,201,500
2024 - 2028	14,365,000	14,685,563	29,050,563
2029 - 2033	18,290,000	10,533,125	28,823,125
2034 - 2038	23,370,000	5,218,250	28,588,250
2039 - 2040	11,070,000	283,500	11,353,500
	<u>\$ 87,200,000</u>	<u>\$ 69,144,438</u>	<u>\$ 156,344,438</u>

Note 6 – Related party transactions

Science Park leases air rights from the Board of Regents where it constructed a building on the Board of Regents' property. The air rights lease is for the purpose of providing not less than 248,806 square feet of the Parker H. Petit Science Teaching Laboratory. The air rights lease was for a period of up to three (3) years during construction and continues for thirty (30) years after construction was completed for a base rental of \$10 per year. The air rights lease includes an option to renew for an additional five (5) years should there be debt outstanding at the end of the original lease term. Under the air rights lease, the ownership of any building or structure constructed passes to the Board of Regents at the end of the air rights lease.

Science Park signed a rental agreement with the Board of Regents for not less than 248,806 square feet in the Parker H. Petit Science Teaching Laboratory for the period which commenced on the first day of the first month after Science Park obtained a certificate of occupancy and ends the following June 30 at a rent not to exceed \$6,200,000 per year with options to renew on a year-to-year basis for up to thirty (30) consecutive one-year periods (the total not to exceed thirty years from the date of the certificate of occupancy) with rent increasing no more than 3% for each option period exercised.

Science Park has an agreement with the Board of Regents wherein each party grants to the other party such easements as are necessary for such other party to have necessary access and to define operational responsibilities.

Science Park has entered into a management agreement with the Research Foundation whereby the Research Foundation provides project management, accounting, and other administrative services to Science Park for \$10 per year. The \$10 management fee shall be payable in advance by Science Park on July 1 of each year, having commenced on July 1, 2008 and ending on July 1, 2039. During the year ended June 30, 2013, the Research Foundation waived the management fee under the provisions of this agreement.

**Report of Independent Auditor on Internal Control Over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards***

Board of Directors
Georgia State University Research
Foundation, Inc. and Affiliate
Atlanta, Georgia

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Georgia State University Research Foundation, Inc., a discretely presented component unit of the State of Georgia, and its Affiliate (collectively the “Research Foundation”), which comprise the statement of net position as of June 30, 2013, and the related statements of revenues, expenses, and changes in net position and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 16, 2013.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Research Foundation's internal control over financial reporting (“internal control”) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Research Foundation's internal control. Accordingly, we do not express an opinion on the effectiveness of the Research Foundation's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the Research Foundation's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Research Foundation's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of non-compliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Research Foundation's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Research Foundation's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Cheng Bekert LLP

Atlanta, Georgia
September 16, 2013

Report of Independent Auditor on Compliance for Each Major Program and on Internal Control Over Compliance Required by OMB Circular A-133

Board of Directors
Georgia State University Research
Foundation, Inc. and Affiliate
Atlanta, Georgia

Report on Compliance for Each Major Federal Program

We have audited Georgia State University Research Foundation, Inc., a discretely presented component unit of the State of Georgia, and its Affiliate's (collectively the "Research Foundation") compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the Research Foundation's major federal programs for the year ended June 30, 2013. The Research Foundation's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Research Foundation's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Research Foundation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Research Foundation's compliance.

Opinion on Each Major Federal Program

In our opinion, the Research Foundation complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

Other Matters

The results of our auditing procedures disclosed an instance of noncompliance with those requirements, which is required to be reported in accordance with OMB Circular A-133 and which is described in the accompanying schedule of findings and questioned costs as item 2013-01. Our opinion on each major federal program is not modified with respect to this matter.

The Research Foundation's response to the noncompliance findings identified in our audit is described in the accompanying schedule of findings and questioned costs. The Research Foundation's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Report on Internal Control Over Compliance

Management of the Research Foundation is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Research Foundation's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Research Foundation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified a deficiency in internal control over compliance, as described in the accompanying schedule of findings and questioned costs as item 2013-01, which we consider to be a significant deficiency.

The Research Foundation's response to the internal control over compliance findings identified in our audit is described in the accompanying schedule of findings and questioned costs. The Research Foundation's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the basic financial statements of the Research Foundation as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the Research Foundation's basic financial statements. We have issued our report thereon dated September 16, 2013, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Cheng Bekert LLP

Atlanta, Georgia

December 10, 2013 except for the schedule of expenditures of federal awards, as to which the date is September 16, 2013.

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013

	Federal Expenditures
Research and Development Cluster¹	
Federal awards received directly by the Research Foundation	\$ 28,363,387
Pass-through awards	4,232,176
Awards made under the American Recovery and Reinvestment Act:	
Federal awards received directly by the Research Foundation	1,182,388
Pass-through awards	675,610
Total Research and Development Cluster	34,453,561
TRIO Cluster¹	
Federal awards received directly by the Research Foundation	1,297,628
Other Federal Programs¹	
Federal awards received directly by the Research Foundation	5,400,166
Pass-through awards	2,288,337
Awards made under the American Recovery and Reinvestment Act:	
Federal awards received directly by the Research Foundation	137,756
Pass-through awards	247,449
Total Other Federal Programs	8,073,708
Total Expenditures of Federal Awards	\$ 43,824,897

¹Federal CFDA numbers are presented in Exhibit I.

See notes to Schedule of Expenditures of Federal Awards and Supplemental Schedule.

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013

Note 1 - Basis of presentation

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of Georgia State University Research Foundation, Inc. and its Affiliate, (the "Research Foundation") and is presented on the accrual basis of accounting. Expenditures under award programs are presented using the economic resources measurement focus and the accrual basis of accounting in the Research Foundation's financial statements.

The purpose of the Schedule is to present a summary of the activities of the Research Foundation for the year ended June 30, 2013, which have been financed by the United States government.

Because the Schedule presents only a selected portion of the activities of the Research Foundation, it is not intended to and does not present either the financial position, changes in fund balances, or cash flows of the Research Foundation.

Research grants awarded to the Research Foundation are then provided to Georgia State University (the "University"), which is responsible for the fiscal administration of the grants.

Note 2 - Subrecipients

Of the federal expenditures presented in the Schedule, the University provided federal awards to subrecipients as presented in Exhibit II.

Note 3 - Non-cash awards

The Research Foundation did not receive any non-cash federal awards during the year ended June 30, 2013.

Note 4 - Catalog of Federal Domestic Assistance ("CFDA") Numbers

CFDA numbers that are available for each federal award program are indicated on the supplemental schedule of expenditures of federal awards at Exhibit I.

Note 5 - Contingencies

These award programs are subject to financial and compliance audits by grantor agencies. The amount, if any, of expenditures that may be disallowed by the grantor agencies cannot be determined at this time, although the Research Foundation expects such amounts, if any, to be immaterial.

**GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2013**

I. Summary of the Auditors' Results

Financial Statements

Type of auditor's report issued: Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? _____ yes X no
- Significant deficiency(ies) identified? _____ yes X none reported

Noncompliance material to financial statements noted? _____ yes X no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? _____ yes X no
- Significant deficiency(ies) identified? X yes _____ none reported

Type of auditor's report issued on compliance for major programs: Unmodified

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133? X yes _____ no

Identification of Major Programs

The programs tested as major programs for the year ended June 30, 2013 are as follows:

<u>CFDA #</u>	<u>Name of Federal Program</u>
Listed by CFDA number in Exhibit I	Research and Development Cluster
84.044, 84.047, 84.066, 84.217	TRIO Cluster
47.082	Trans-NSF Recovery Act Research Support
Dollar threshold used to distinguish between type A and type B programs:	\$1,314,747
Auditee qualified as low-risk auditee?	<u> X </u> yes _____ no

II. Financial Statement Findings

None reported.

III. Federal Awards Findings and Questioned Costs

Finding 2013-01

Required Reports Not Filed or Not Submitted on Time

Federal Agencies:

Department of Defense

Federal Program:

Research & Development Cluster

CFDA # 12.420

CONDITION:

Certain financial and other reports required by grant and contractual agreements entered into by the Research Foundation with various federal agencies were not submitted on time. Of a total of 30 reports judgmentally selected for testing, 2 were not submitted within the required timeframe. This issue has recurred for the last three years.

CRITERIA:

The Research Foundation is required to submit performance and financial reports as required by the award terms and conditions. Such reports are required to be submitted within a specified timeframe as required by the applicable grant or contract agreement.

EFFECTS:

A violation or failure to comply with an award's terms and conditions may result in the Federal agency withholding payments to the Research Foundation, withholding approval of further grants with the Research Foundation, and any other action deemed necessary to gain compliance.

QUESTIONED COSTS:

None identified.

CAUSE:

Insufficient monitoring of compliance with the reporting terms and conditions of awards.

RECOMMENDATIONS:

Efforts should continue to be made by the Research Foundation to reemphasize policies and procedures to ensure required reports are submitted to the contracting agencies by the specified due dates.

MANAGEMENT'S RESPONSE AND CORRECTIVE ACTION PLAN:

We concur with the above finding. The reports referred to in the finding were interim reports for non-Letter of Credit grants. The interim reports had not been entered into the new milestones system that was implemented in December 2012. The milestone system is part of our institutional financial system. All required financial reports were to be entered into the milestone system. The milestone system did not have a way to verify that all active sponsored projects had been entered. By February 2014, an exception report will be created to ensure that all active projects have been entered into the milestone system. This report will be run on a monthly basis in conjunction with the milestone report. The exception report will be given to each Financial Officer who has an active project that has not been entered into the milestone system. The Financial Officer will review the notice of award and enter the financial reporting requirements into the milestone system for each award noted on the exception report. This will ensure that financial reports are being tracked for all active projects.

Contact Person: Valerie Baldwin, CRA, Associate Director - Office of Sponsored Proposals and Awards
Telephone: (404) 413-3604 Email: vbaldwin2@gsu.edu

GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR THE YEAR ENDED JUNE 30, 2013

The following is an update of prior audit findings and is prepared in accordance with OMB Circular A-133.

Finding 2012-01
Required Reports Not Filed or Not Submitted on Time

Federal Agencies:	Department of Energy Department of Defense
Federal Program:	Research & Development Cluster CFDA # 12.800 CFDA # 81.049

CONDITION:

Certain financial and other reports required by grant and contractual agreements entered into by the Research Foundation with various federal agencies were not submitted on time. Of a total of 31 reports judgmentally selected for testing, 2 were not submitted within the required timeframe.

CRITERIA:

The Research Foundation is required to submit performance and financial reports as required by the award terms and conditions. Such reports are required to be submitted within a specified timeframe as required by the applicable grant or contract agreement.

EFFECTS:

A violation or failure to comply with an award's terms and conditions may result in the Federal agency withholding payments to the Research Foundation, withholding approval of further grants with the Research Foundation, and any other action deemed necessary to gain compliance.

QUESTIONED COSTS:

None identified.

CAUSE:

Insufficient monitoring of compliance with the reporting terms and conditions of awards.

RECOMMENDATIONS:

Efforts should continue to be made by the Research Foundation to reemphasize policies and procedures to ensure required reports are submitted to the contracting agencies by the specified due dates.

MANAGEMENT'S RESPONSE AND CORRECTIVE ACTION PLAN:

We concur with the above finding. The reports referred to in the finding that were not filed or submitted on time, were interim reports for our non-Letter Of Credit grants that were being tracked manually. A new process will be implemented by the end of December 2012 to enter all respective report due dates per project, as milestones, into our accounting system. Each milestone will represent a commitment for submitting a financial report to the sponsor. Each month the Associate Director will run a report listing the sponsor financial reports that are due that month and distribute the report within the department. The Financial Officers will review the award/milestone report each month and begin the process of preparing the financial reports and submitting them within the required timeframe. The Financial Officers will keep the Associate Director informed of their progress with the reports, and the Associate Director will sign-off on the report to be submitted to each sponsor.

Contact Person: Michael Mathisen, Associate Director, Office of Sponsored Proposals and Awards
Telephone: (404) 413-3523 Email: mmathisen@gsu.edu

STATUS:

Corrective action plan has not been fully implemented; and a similar instance of noncompliance was noted in 2013. See Finding 2013-01.

Finding 2012-02

Failure to Comply with Special Contract Terms and Conditions

Federal Agencies:	Department of Health and Human Services
Federal Program:	Research & Development Cluster
	CFDA # 93.558

CONDITION:

The Research Foundation was unable to provide documentation that the required income verification had occurred for participants in the After-School All-Stars Program as required under contract with the State of Georgia Department of Human Services.

CRITERIA:

Per the Special Terms and Conditions of the program contract, the Research Foundation is required to complete and maintain on site a required Income Eligibility Reporting Form for each participant in order to verify the program participants met the sponsoring agency's guidelines.

EFFECTS:

A violation or failure to comply with an award's terms and conditions may result in the Federal agency withholding payments to the Research Foundation, withholding approval of further grants with the Research Foundation, and any other action deemed necessary to gain compliance.

QUESTIONED COSTS:

Unknown.

CAUSE:

Insufficient monitoring of compliance with the special terms and conditions of awards.

RECOMMENDATIONS:

Efforts should be made by the Research Foundation to put in place policies and procedures to identify the terms and conditions of each grant and contractual agreement and to monitor the program's compliance with these terms and conditions.

MANAGEMENT'S RESPONSE AND CORRECTIVE ACTION PLAN:

We concur with the above finding. In order to correct the finding, beginning with the 2012-2013 academic year, each After School coordinator that is resident at the individual school being served will, at the time that the DHS After School Care Program Eligibility form is submitted by the participant, review the form and verify that income eligibility to qualify for the program has been met. The After School coordinator will then sign and date the Eligibility form attesting that each participant has met the income eligibility requirement. In addition, once each semester, the university Financial Compliance Officer will be provided a roster of participants in the program by the program Associate Executive Director and will make a sample selection of participants from the roster and request the Eligibility form and other documentation needed to confirm that selected participants meet the income eligibility for the program.

Contact Person: Gary Brennaman, Research Foundation Business Manager
Telephone: (404) 413-3529 Email: gbrennaman@gsu.edu

STATUS:

This program was transferred from the Research Foundation's schedule of expenditures of federal awards to the University during fiscal year 2012, and the Research Foundation expended no federal funds related to this project during the year ended June 30, 2013. Therefore, no audit procedures were performed over this program. This program will be subject to the audit procedures performed over the University's schedule of expenditures of federal awards.

**GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)**

EXHIBIT I

**SUPPLEMENTAL SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013**

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
Research and Development Cluster		
Department of Agriculture		
10.156	Federal-State Marketing Improvement Program Pass-through from: Georgia Department of Agriculture	
10791	2011-2012 Workplace Satisfaction Index Survey	\$ 16,641
	CFDA #10.156 Total	16,641
10.212	Small Business Innovation Research Pass-through from: UES, Inc.	
11036	Real-time Detection of Food-borne Pathogens and Toxins	17,432
	CFDA #10.212 Total	17,432
10.253	Food Assistance and Nutrition Research Programs Direct: Department of Agriculture	
10411	Food Assistance and Nutrition Research	70,558
	Pass-through from: University of Baltimore	
10756	Economic Research on the Joint Contributions of the Supplemental Nutrition Assistance Program	28,449
	CFDA #10.253 Total	99,007
10.310	Agriculture and Food Research Initiative Pass-through from: University of Connecticut	
10638	Bioinformatics tools for viral quasispecies reconstruction	76,103
	CFDA #10.310 Total	76,103
10.OFA	Systems Interoperability Pass-through from: University of Kentucky	
10746	The Impact of Incarceration on Food Security of Children	1,742
	CFDA #10.OFA Total	1,742
Total Department of Agriculture		210,925
Department of Commerce		
11.011	Ocean Exploration Direct: National Oceanic and Atmospheric Administration	
10543	The Costa Escondida Project: Exploring the Hidden World	27,251
	CFDA #11.011 Total	27,251

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
11.417	Sea Grant Support Pass-through from: University of Georgia	
	10248 Modeling Spatial and Temporal Land Use Patterns	21,200
	10911 Paleotempestology of Coastal Georgia: A study of spatial and temporal variability	35,527
	CFDA #11.417 Total	56,727
11.478	Center for Sponsored Coastal Ocean Research Pass-through from: University of South Florida	
	H3299 Coral Reef Benthic Community	(7,391)
	CFDA #11.478 Total	(7,391)
Total Department of Commerce		76,587
Department of Defense		
12.420	Military Medical Research and Development Direct: U.S. Department of Defense	
	10041 Mechanisms of Mitochondrial Dysfunction in Gulf War	37,223
	10333 Mechanics of Mitochondrial Dysfunction in Autism	94,674
	J3846 Metastatic Prostate Cancer Therapy by a Novel	(638)
	Pass-through from: Georgia Tech Research Corporation	
	10238 Engraftment Strategies Center of Cayalytic Bioscavenger Medical Defense	39,326
	Ohio State University	
	11091 Research II	57,675
	CFDA #12.420 Total	228,260
12.431	Basic Scientific Research Direct: Army Research Office	
	10417 Microwave and terahertz devices based on the photo-excited low dimensional electron system	364
	10853 Microwave and terahertz devices based on the photo-excited low dimensional electron system	58,417
	10940 Band Structure and Band Offset Characterizations of Semiconductor Heterojunctions	28,653
	U.S. Army Research Institute	
	J3514 Uncooled Split off Quantum	86,441
	CFDA #12.431 Total	173,875
12.630	Basic, Applied, and Advanced Research in Science and Engineering Pass-through from: Academy of Applied Science	
	10857 2012 Research & Engineering Apprenticeship Program	5,200
	CFDA #12.630 Total	5,200
12.800	Air Force Defense Research Sciences Program Direct: Air Force Office Of Scientific Research	
	10242 Stabilization of indium-rich IN1-xGAXN heterostructures	138,551
	CFDA #12.800 Total	138,551

CFDA Number	<u>Program Title/ Federal Grantor/ Pass-Through Grantor/ Project</u>	Federal Expenditures
12.901	Mathematical Sciences Grants Program	
	Direct:	
	National Security Agency	
	10455 Atlanta Combinatorics and Graph Theory Distinguished	3,864
	CFDA #12.901 Total	<u>3,864</u>
12.OFA	Other Federal Assistance	
	Direct:	
	Department of Defense	
	11061 Following Challenging Experiences	95,057
	11224 Oligopeptide Transporter hPEPT1	15,336
	H3146 Rapid Identification of Biological Agents	(407)
	I3484 Skeletal Muscle Regeneration	(1,054)
	CFDA #12.OFA Total	<u>108,932</u>
Total Department of Defense		<u>658,682</u>
Department of Justice		
16.753	Congressionally Recommended Awards	
	Direct:	
	U.S. Department of Justice	
	10447 Preventing Child Maltreatment Among Parents with Intellectual Disabilities	3,875
	CFDA #16.753 Total	<u>3,875</u>
Total Department of Justice		<u>3,875</u>
Department of Labor		
17.249	Employment Training Administration	
	Pass-through from:	
	University of Baltimore	
	BLG35 Welfare to Work Dynamics	5,448
	CFDA #17.249 Total	<u>5,448</u>
Total Department of Labor		<u>5,448</u>
Department of Transportation		
20.200	Highway Research and Development Program	
	Pass-through from:	
	University of Central Florida	
	10419 Behavioral Sciences Approach to Testing, Validating	413,851
	CFDA #20.200 Total	<u>413,851</u>
Total Department of Transportation		<u>413,851</u>

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
National Aeronautics and Space Administration		
43.001	Aerospace Education Services Program	
	Direct:	
	National Aeronautics & Space	
	10028 Creating an Enduring Legacy of Exemplary Global	279,539
	10508 An Extended Search for Circumbinary Companions	4,191
	10535 A Search for Planets in the Metal Rich Open Cluster Praesepe	18,022
	10861 A Legacy Survey for Circumbinary Companions of Intermediate - Mass Eclipsing Binary Stars	56,330
	11102 The Role of a Third Star in the Formation of Close Binaries	9,738
	Pass-through from:	
	Georgia Institute of Technology	
	10514 Georgia Space Grant Fellowship	12,793
	Space Telescope Science Institute	
	10064 Hubble Fellowship for Tabettha Boyajian	32,407
	10456 What are the Locations and Kinematics of Mass Outflows in AGN?	9,294
	10471 Improving the Radius-Luminosity Relationship for Broad-Lined AGNS	(3,560)
	10584 The Black Hole Mass - Bulge Luminosity Relationship	31,552
	10648 Hot Evolved Companions to Intermediate-Mass Main Stars	16,261
	10661 A Mysterious Unseen Companion Lurking at 30 Parsecs	21,581
	10881 The Weight-Watch Program for Subdwarfs	16,955
	10941 The Current Ultraviolet Spectrum of S Doradus	10,410
	11150 Probing the Highest Ionization Gas in the Narrow-Line Regions of Active Galaxies	9,142
	University of South California	
	11009 A Search for Evolved Companions to Rapidly Rotating Hot Sta	12,000
	CFDA #43.001 Total	536,655
43.OFA	National Aeronautics And Space Administration - Other Financial Assistance	
	Direct:	
	National Aeronautics and Space Administration	
	10227 Probing the Highest Ionization Gas in the Narrow-Line Regions of Active Galaxies	85,607
	Jet Propulsion Laboratory	
	10626 Precise Masses of Young Stars in Taurus	5,278
	CFDA #43.OFA Total	90,885
Total National Aeronautics and Space Administration		627,540
National Endowment for the Humanities		
45.163	Promotion of the Humanities-Professional Development	
	Direct:	
	National Endowment for the Humanities	
	10826 The Problem of the Color Line- Atlanta Landmarks	148,055
	CFDA #45.163 Total	148,055
Total National Endowment for the Humanities		148,055

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
National Science Foundation		
47.041	Engineering Grants	
	Direct:	
	National Science Foundation	
	10062 The Nonatomic-Game Approach to Revenue Management Under Competition	1,127
	10977 Novel Device Concepts in Split-Off Quantum Dot Infrared Photodetectors	28,146
	11229 Selenium Nucleic Acids for Structure Determination, Drug Discovery and Commercialization	10,971
	CFDA #47.041 Total	40,244
47.049	Mathematical and Physical Sciences	
	Direct:	
	National Science Foundation	
	10104 The Interaction of Radiation With Free and Confined	17,485
	10357 Multistability and bifurcations for polyrhythmic Central	50,348
	10375 DynSyst Special Topics: Time-varying dynamical networks	49,890
	10383 The Interaction of Radiation With Free and Confined Atoms and Ions	8,513
	10391 The Exoplanet Frontiers: A Star Ages and M M Star Planet	92,458
	10412 Angular Momentum Destinies of Massive Stars	112,810
	10616 Multistability and bifurcations for polyrhythmic Central Pattern Generators	3,722
	10644 Adaptive Optics for the CHARA Array	389,671
	10657 Core-Ligand Interfacial Bond Structure Defined Metal nanoclusters and the Energetics	117,053
	10665 ARRA- More than 1000 Points of Red Light	77,104
	10714	
	Continuous Regularization for Nonlinear Ill Posed Problems	79,213
	10885 DynSyst Special Topics: Time-varying dynamical networks: Theory and Applications	6,050
	10996 What are the Structure, Power, and Impact of AGN Winds	57,644
	11131 Fundamental Stellar Parameters from the CHARA Array	36,758
	11173 CAREER: Campaigning for Better Black Hole Mass Relations	17,647
	I3500 Zirconium-Assisted Hydrolysis	46,312
	I3768 Cellular mechanisms of the dyn	73,718
	Pass-through from: Hope College	
	J3521 Self-Assemble and Programmable	2,957
	CFDA #47.049 Total	1,239,353

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
47.050	Geosciences	
	Direct:	
	National Science Foundation	
	10640 The First Ever Demographic Study of Solar Physics, Space Physics	1,144
	10770 Acquisition of X-ray Diffraction Instrumentation for Mineral, Crystallographic, and Geochemical Research	13,169
	10862 Collaborative Workshop Proposal	2,226
	10935 Instructional Approaches to Access, Accommodation, and Inclusion in the Geosciences	9,844
	Pass-through from:	
	Aspen Global Change Institute	
	10620 From the Horse's Mouth: Engaging with Geoscientists on Science	(8,252)
	Ohio State University	
	10731 OEDG Track 1: Expanding Geoscience Diversity through Simulated Field Environments	17,726
	University of New Hampshire	
	10779 Hotter hotspots: Land use intensification and protected area Vulnerability in Africa's Albertine Rift	27,038
	CFDA #47.050 Total	62,895
47.070	Computer and Information Science and Engineering	
	Direct:	
	National Science Foundation	
	10081 III: Small: Collaborative Research: Reconstruction	63,536
	10168 EAGER: Exploratory Frameworks for Distributed Algorithms	20
	10397 EAGER: Evaluating the feasibility of Self-Protection	(2,652)
	10438 CAREER: Collaborative Communication and Storage for Sensor Networks	11,365
	10439 GIS Vector Data Overlay	85,799
	10641 NSF/TCPP Student Travel Awards for IPDPS-2011	8,550
	10704 Toward Parallel and Distributed Computing into Core	23,798
	10705 CPS:Medium:Collaborative Research:Information and Computation Hierarchy	12,514
	10789 EAGER: One-off/Continuous Convergecast and Broadcast Scheduling in Probabilistic Wireless Mesh Networks	59,200
	10821 Design and Analysis of Spectrum-sliced Elastic Optical Path Networks	64,193
	10918 Early adopters of Curriculum Initiative in Parallel and Distributed Computing at EduPar-12	37,500
	10944 8th International Symposium on Bioinformatics Research and Applications	18,898
	11016 Collaborative Research: Cognitive Approaches to Distributed Software Requirements	145
	11018 A Center for Parallel and Distributed Computing Curriculum Development	55,786
	GLA43 Algorithm - Optimization Probs	4,071
	J3487 A computational framework	986
	J3492 Reliability Modeling for Large	12,841
	Pass-through from:	
	Washington State University	
	10512 Activity-Aware Sensor Network for Smart	37,306
	Georgia Institute of Tecnology	
	11065 Therapeutic Rehabilitation for Children with Disabilities Computing Curriculum Development	17,444
	University of Maryland	
	11188 The Ecology of Cybersecurity in Weakly Fortified Networks	11,325
	CFDA #47.070 Total	522,625

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
47.074	Biological Sciences	
	Direct:	
	National Science Foundation	
	10030 Neurobiology of Social Behavior	168,553
	10048 Atom-specific Selenium Derivatization of Nucleic Acids	5,848
	10183 CAREER: Atomistic simulation of enzymatic modulation	147,069
	10229 Functional Genomics of D-Amino Acid Metabolism	117,372
	10395 Neurogenetics of Social Behavior	125,730
	10401 Neuroecology of Ink Defenses	13,187
	10617 Neuroecology of Ink Defenses	4,555
	10683 Control of refference in posture and locomotion	135,761
	10692 Mechanistic and Structural Studies of Choline Oxidase	224,702
	10718 Evolution of Neural Circuits for Locomotion	196,638
	10735 Hippocampal modulation of energy regulation	97,105
	10737 EAGER- In Vivo Detection of Protein-Protein Interactions	190,036
	10876 CAREER: Modeling Assemblies and Interactions at the Replication Fork	137,847
	10970 REU Supplement for NSF grant Hippocampal Modulation of Energy Intake	6,000
	11257 Neuroecology of Ink Defenses	590
	H3015 CAREER: Structure-Function Studies on Choline Oxidase	2,486
	H3048 Social Regulation of Brain	22,404
	J3654 The interaction of social experience	80,663
	J3424 Evolution of Neural Circuits for Locomotion	69,841
	J3451 Atom-specific Selenium Derivatization of Nucleic Acids	129,021
	J3962 Nitric oxide as cell-intrinsic	195,353
	J5112 Mechanistic Studies of Tryptop	107,982
	CFDA #47.074 Total	2,178,743
47.075	Social, Behavioral, and Economic Sciences	
	Direct:	
	National Science Foundation	
	10117 Comparative Investigations	99,022
	10396 CAREER: Brain Network Activity During Perceptual	79,820
	10649 Crime, Social Networks, and Neighborhood Change	97,139
	10766 Collaborative Research: Primate and Human Social Decision-Making Certificate Program	4,939
	10838 Digging into Reports of Human Rights Violations: Anaphora Resolution and Emergent Witnesses	97,511
	10880 REU Site: Addressing Social and Environmental Disparities through Community Geography	123,503
	10985 Crime, Social Networks, and Neighborhood Change	14,932
	11015 Collaborative Research: Evaluating Long-term Anthropogenic Changes in the Crater Lake Landscape of Far Western Uganda	228
	Pass through from: Research Foundation of SUNY	
	Stanford University	
	10389 Metacognition in Comparative	17,984
	11211 Enhancing resilience of coastal ecosystems and human communities to oceanographic variability: social and ecological feedbacks	16,973
	CFDA #47.075 Total	552,051

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
47.076	Education and Human Resources	
	Direct:	
	National Science Foundation	
	10236 Collaborative Research: Portable, Modular, Modern Tech.	18,374
	10311 Graduate Research Fellowships	35,237
	10701 Robert Noyce Urban Mathematics Educator Program Phase II	60,118
	10717 Graduate Research Fellowships	35,972
	10972 Finding Nearby Young Planets: A New Approach	38,574
	Pass-through from:	
	Kennesaw State University	
	10848 GSU Noyce Track II with KSU	27,992
	CFDA #47.076 Total	216,267
47.080	Office of Cyberinfrastructure	
	Direct:	
	National Science Foundation	
	10752 Collaborative Research:CDI-Typell: VolcanoSRI:4D Volcano	70,073
	CFDA #47.080 Total	70,073
47.082	Trans-NSF Recovery Act Research Support	
	Direct:	
	National Science Foundation	
	10020 ARRA- Fundamental Stellar Parameters	285,310
	10042 ARRA- CAREER: Understanding Responses to Inequitable Outcomes	232,080
	10050 ARRA- Transcriptional Regulation by Two Domain HLH Protein	51,570
	10072 ARRA- Collaborative Proposal: Asymmetric Power	21,966
	10079 ARRA- The Recons Survey of the Solar Neighborhood	68,117
	10088 ARRA- The Proper Scale for Environmental Markets	33,953
	10110 ARRA- CAREER: Large Scale Spatial-temporal	106,437
	10111 ARRA- CDI-Type II: Integrated	88,380
	Pass-through from:	
	University of Cincinnati	
	10859 ARRA- Tailored Glycoconjugates for the Precise Detection of Toxins and Pathogens	265,952
	CFDA #47.082 Total	1,153,765
47.OFA	Other Federal Assistance	
	Direct:	
	National Science Foundation	
	10664 Intergovernmental Personnel Act (IPA)	8,674
	CFDA #47.OFA Total	8,674
Total National Science Foundation		6,044,690

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
Department of Veterans Affairs		
64.OFA	Other Federal Assistance	
	Direct:	
	10814 Oligopeptide Transporter hPepT1: gateway to the innate immune response	61,344
	10816 Oligopeptide Transporter hPepT1: gateway to the innate immune response	8,733
	11083 Brain Activation in Humans	37,979
	11123 Brain Activation in Humans	31,950
	11127 Brain Activation in Humans	21,868
	11212 Brain Activation in Humans	12,993
	CFDA #64.OFA Total	174,867
Total Department of Veterans Affairs		174,867
Environmental Protection Agency		
66.509	Science To Achieve Results (STAR) Research Program	
	Pass-through from:	
	University of Alabama - Tuscaloosa	
	10742 Water Infrastructure Sustainability and Health in Alabama	2,724
	CFDA #66.509 Total	2,724
Total Environment Protection Agency		2,724
Department of Energy		
81.049	Office of Science Financial Assistance Program	
	Direct:	
	U.S. Department of Energy	
	10151 SISGR: Radiation-induced	77,680
	10266 Ionization of Free	60,562
	10679 Quantum Nanoplasmonics Theory	149,832
	FLT76 NOVEL NANOPLASMONIC THEORY	67,597
	I3725 Research in Heavy Ion Nuclear	149,592
	CFDA #81.049 Total	505,263
81.OFA	Department of Energy - Other Financial Assistance	
	Pass-through from:	
	Battelle Memorial Institute	
	Oak Ridge National Lab	
	10896 Clay Mineralogy Rifle Integrated Field Challenge Site	7,883
	10988 Transport studies of aqueous electrolytes and ionic liquids through single nanopores with time and frequency domain electrochemical measurements.	93,650
	Lawrence Berkeley National Laboratory	
	11041 Clay Mineralogy of the Rifle, Colorado Integrated Field Research Challenge Site	4,504
	CFDA #81.OFA Total	106,037
Total Department of Energy		611,300

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
Department of Education		
84.116	Fund for the Improvement of Postsecondary Education	
	Pass-through from:	
	National Commission on Teaching America	
	10792 FIPSE partnership between GSU and NCTAF	11,310
	CFDA #84.116 Total	11,310
84.133	National Institute on Disability and Rehabilitation Research	
	Pass-through from:	
	Georgia Institute of Technology	
	J3849 Wheelchair Tai Chi Intervention	49,659
	CFDA #84.133 Total	49,659
84.184	Safe and Drug-Free Schools and Communities National Programs	
	Pass-through from:	
	Cobb County School District	
	10571 Proposal to Evaluate the Cobb County Safe Schools	(11,067)
	10843 Proposal to Evaluate the Cobb County Safe Schools, Healthy Students Grant	80,594
	CFDA #84.184 Total	69,527
84.305	Education Research, Development and Dissemination	
	Direct:	
	U.S. Department of Education	
	10690 Incentives to Recruit & Retain Teachers	190,010
	10952 Developing instructional approaches suited to the cognitive and motivational needs of struggling adult readers	953,753
	10989 The Roles of Instruction and Component Skills in Reading Achievement	109,269
	H3280 Postdoctoral research training	172,259
	CFDA #84.305 Total	1,425,291
84.324	Research in Special Education	
	Direct:	
	U.S. Department of Education	
	10511 Special Education - Research and Innovation to Improve	482,953
	10919 Center for Literacy and Deafness (CLAD)	896,536
	H3218 Parent-implemented language	122,639
	I3670 Project LIBERATE	1,424,078
	J3412 ARRA- Georgia Measurement and Assess	160,129
	Pass-through from:	
	University of Oregon	
	10355 Implementing Positive Behavior Supports in Juvenile	122,010
	CFDA #84.324 Total	3,208,345
84.336	Teacher Quality Partnership Grants	
	Direct:	
	U.S. Department of Education	
	10164 Network for enhancing Teacher Quality (Net-Q)	2,164,287
	CFDA #84.336 Total	2,164,287

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
84.350	Transition to Teaching Direct: U.S. Department of Education		
		I3436	Joining Urban School Partners 231,859
			CFDA #84.350 Total <u>231,859</u>
84.351	Arts in Education Pass-through from: Alliance Theatre		
		J3495	Georgia Wolf Trap for English 26,204
			CFDA #84.351 Total <u>26,204</u>
84.367	Improving Teacher Quality State Grants Pass-through from: University of Georgia		
		10893	Preparing Georgia's High School Teachers to Integrate Technology 37,680
		11159	Improving Teacher Quality: Building High School Teachers' Capacity to teach mathematical modeling using technology-supported simulations. 16,320
		11160	Improving Teacher Quality: Integrating Technology Into Microeconomics Instruction 13,079
		11125	Our Changing World: Exploring Cross-Cutting Ideas Regarding Earth and Life through Time 7,398
			CFDA #84.367 Total <u>74,477</u>
84.386	Education Technology State Grants Pass-through from: Georgia Institute of Technology		
		11171	Computational Thinking: 21st Century STEM Problem-Solving Practices for Georgia Students 13,807
			CFDA #84.386 Total <u>13,807</u>
Total Department of Education			<u>7,274,766</u>
U.S. Institute of Peace			
91.004	Public Education for Peacebuilding Awards Program Pass-through from: United States Institute for Peace		
		11149	Conference Proposal: Muslim Approaches to Religious Extremism and Counter-Radicalization 1,698
			CFDA #91.004 Total <u>1,698</u>
Total U.S. Institute of Peace			<u>1,698</u>

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
Department of Health and Human Services			
93.061	Innovations in Applied Public Health Research		
	Direct:		
	Center for Disease Control		
		10138 Effectiveness of coaching	267,526
		J3489 Implementing Safe Care to Prevent Child Maltreatment in underserved populations	110,340
	Pass-through from:		
	University of Georgia		
		10450 Low Literary/SES - LTSAE Campaign	23,101
		CFDA #93.061 Total	400,967
93.068	Center for Disease Control and Prevention		
	Pass-through from:		
	National Association of Chronic Disease		
		10954 A Chronic Disease Prevention and Health Promotion Certificate Program	31,207
		CFDA #93.068 Total	31,207
93.087	Enhance Safety of Children Affected by Substance Abuse		
	Direct:		
	Department of Health and Human Services		
		11079 Enhancing safety and well-being of children of Adult Drug Court participants	210,701
		CFDA #93.087 Total	210,701
93.092	Affordable Care Act (ACA) Personal Responsibility Education Program		
	Pass-through from:		
	Georgia Department of Human Services		
		10872 Evaluation of Georgia Personal Responsibility Education Program (PREP)	150,092
		CFDA #93.092 Total	150,092
93.097	Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments		
	Pass-through from:		
	Emory University		
		10819 Development of a Group-Based SafeCare Parent Training Program	6,011
		CFDA #93.097 Total	6,011
93.110	Maternal and Child Health Federal Consolidated Programs		
	Direct:		
	Maternal and Child Health Bureau		
		10232 Medical service utilization by Medicaid-eligible Children	2,263
		10677 Georgia Leadership Education in Neurodevelopmental and Related Disorders	611,647
	Pass-through from:		
	University of Texas Health Science		
		10484 Cultural and Contextual variability in parenting impacts	830
		CFDA #93.110 Total	614,740

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.136	Injury Prevention and Control Research and State and Community Based Programs	
	Direct:	
	National Institute of Health	
	11064 A Multilevel Protective Model of Sexual Violence Perpetration	183,718
	Pass-through from:	
	University of California, San Diego	
	10218 Testing an adaptation process for SafeCare	15,353
	Kent State University	
	J3493 Prospective Risk and Prevention	25,887
	Emory University	
	10518 Building Law Enforcement and Community-based Capacity	4,369
	10586 Understanding Barriers to Evidence-Based Intervention	9,302
	10595 Mild Traumatic Brain Injury among Middle and Secondary School Football Players	(1,026)
	11138 Influence of system level factors on the sustainment of an EBP for child maltreatment	7,985
	CFDA #93.136 Total	245,588
93.172	Human Genome Research	
	Pass-through from:	
	Duke University	
	10339 Research on Ethical Issues	(8,151)
	CFDA #93.172 Total	(8,151)
93.173	Research Related to Deafness and Communication Disorders	
	Direct:	
	National Institute on Deafness & Other	
	10522 Up-Regulation of Mucin Gene Transcription-Otitis Media	275,103
	10524 Combinational Regulation of Inflammation in Otis Media	436,124
	10951 Acquiring language with a cochlear Implant: the role of sequential learning REF# R01DC012037-01A1	210,108
	Pass-through from:	
	University of Utah	
	10768 Active Sensing and Glomerular Odor Processing in the Rodent Olfactory Bulb	43,193
	CFDA #93.173 Total	964,528

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.242	Mental Health Research Grants	
	Direct:	
	National Institute of Mental Health	
	13522 Neurobiology of Social Behavior	44,919
	11004 Cell Death and Neural Sex Differences R01MH068428	233,916
	10889 Vasopressin Receptor Polymorphism and Social Cognition	144,271
	11003 The Neural Basis of Sexually Dimorphic Brain Function	254,890
	11055 2/2-Maternal HIV: Multisite Trial to Assist Disclosure to Children.	119,075
	11238 Neurobiology of Social Behavior	39,525
	11190 Using Technology to Enhance the Reach of an evidence-based child maltreatment prevention program for at-risk parents.	21,588
	Pass-through from:	
	Emory University	
	10076 HIV/AIDS in the African American Community: Disparities	56,926
	Jeevan Biosciences	
	10831 Induced Pluripotential Stem cell lines from CD+34	10,354
	University of California	
	11089 Multilevel HIV Prevention Intervention for Young African American Men	8,437
	University of Wisconsin	
	10928 Long-term cognitive and Neuroanatomical Consequences of childhood stress	29,926
	CFDA #93.242 Total	963,827
93.243	Substance Abuse and Mental Health Services - Projects of Regional and National Significance	
	Pass-through from:	
	Clinic for Education, Treatment & Prevention of Addiction	
	11203 SAMHSA Partnership for Success Project	31,287
	Early County Literacy Task Force	
	11204 SAMHSA Partnership for Success Project-Early County	28,802
	CFDA #93.243 Total	60,089
93.272	Behavioral and Social Science Research on Understanding and Reducing Health Disparities	
	Direct:	
	National Institute on Alcohol Abuse and Alcoholism	
	10564 An Ecologically- Valid Intervention for Men's Intoxicated Aggression Toward Women	26,723
	CFDA #93.272 Total	26,723
93.273	Alcohol Research Programs	
	Direct:	
	National Institute on Alcohol Abuse and Alcoholism	
	10913 Mechanisms of Alcohol-Facilitated Intimate Partner Violence	414,878
	H3077 Determinants of Violence Based	20,409
	CFDA #93.273 Total	435,287

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
93.279	Drug Abuse and Addiction Research Programs		
	Direct:		
	National Institute on Drug Abuse		
		10402	
			38,332
		10631	6,772
		13626	
			63,024
	Pass-through from:		
	Emory University		
		10493	18,988
	Family Health International		
		11137	
			204,567
		CFDA #93.279 Total	331,683
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health		
	Direct:		
	National Institute of Biomedical Research		
		13490	
			421,560
	Pass-through from:		
	University of Oregon		
		10144	148,115
	Beth Israel Deaconess Medical Center		
		10420	
			103,630
		10421	
			43,678
		CFDA #93.286 Total	716,983
93.307	Minority Health and Health Disparities Research		
	Direct		
	National Center on Minority Health		
		10423	754,454
		10466	189,561
	National Institute of Health		
		10882	395,679
		11030	105,274
		11066	
			435,209
	Pass-through from:		
	Spectrum Unlimited		
		10856	
			134,163
		CFDA #93.307 Total	2,014,340
93.389	National Center for Research Resources		
	Direct:		
	National Center for Research		
		13752	(407)
		CFDA #93.389 Total	(407)

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures		
93.393	Cancer Cause and Prevention Research Direct: National Institute of Health	11154	Developing Measures of Little Cigar Use in Young Adults	63,424	
		Pass-through from: Wake Forest University	10318	Prenatal Adenovirus Infection, Inhibition of DNA repair	82,265
			CFDA #93.393 Total		145,689
		93.394	Cancer Detection and Diagnosis Research Direct: National Cancer Institute/NIH/DHHS	10418	Evaluation of antiangiogenic role of EM011, a novel tubulin-binding agent
Pass-through from: Beth Israel Deaconess Medical Center	10422			Intraoperative Near-Infrared Fluorescence Imaging	114,395
	Provia Biologics			11164	Targeted Imaging Mass Spectroscopy for Biomarker Detection in Human Tissues
CFDA #93.394 Total				417,208	
93.395	Cancer Treatment Research Direct: National Institute of Health	11051	Evaluation of HSET as a Novel Theranostic Target for Breast Cancer Therapy	102,936	
		Pass-through from: Emory University	13587	Targeting Glioblastoma	7,558
			CFDA #93.395 Total		110,494
93.396	Cancer Biology Research Direct: National Cancer Institute/NIH/DHHS	13547	Functional role of p68 photphosphorylation in cancer metastasis	9,039	
		Pass-through from: Vanderbilt University	11012	Taming of T-regulatory Cells Following Tumor Irradiation	117,495
			University of New Mexico	10771	Multiscale Modeling of ECM-Tumor Interactions in Breast Cancer
		10769		MSM Mapping and Modeling ErbB Receptor Membrane Topography	38,989
		CFDA #93.396 Total		349,875	
93.516	Affordable Care Act (ACA) Public Health Training Centers Program Pass-through from: University of Georgia	10551	Georgia's Public Health Training Center	47,356	
		CFDA #93.516 Total		47,356	

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.520	Centers for Disease Control and Prevention –Affordable Care Act (ACA) – Communities Putting Prevention to Work Pass-through from: DeKalb County Board of Health	
	10403 ARRA- External Evaluation Services for Communities Putting	11,031
	CFDA #93.520 Total	11,031
93.558	Temporary Assistance for Needy Families Pass-through from: Georgia Department of Human Services	
	10527 After-School All-Stars - Atlanta	(1,614)
	CFDA #93.558 Total	(1,614)
93.701	Trans-NIH Recovery Act Research Support Direct: National Center for Research	
	10127 ARRA- A Virtual Reality Biofeedback	108,534
	National Institute of Allergy and Infectious Diseases	
	10612 Replication of West Nile Virus	178,580
	J3976 ARRA- Combining empirical and theore	7,798
	National Institute of Health	
	10502 ARRA- Regulation of host response in s. pneumoniae infections	15,160
	10671 ARRA- Intestinal PepT1 in Health and Disease	18,116
	Pass-through from: Emory University	
	10482 ARRA- Uptake of Comparative Effectiveness Research	252,301
	CFDA #93.701 Total	580,489
93.723	Prevention and Wellness-State, Territories and Pacific Islands Pass-through from: Research Triangle Institute	
	10545 ARRA- CPPW Prevention Impacts Simulation Model	112,176
	Georgia Department of Community Health	
	10652 ARRA- Caregivers Promoting Healthy Habits	12,163
	CFDA #93.723 Total	124,339
93.837	Cardiovascular Diseases Research Direct: National Institute of Health	
	10936 Macrophage AMPK, Inflammation, and Atherosclerosis	317,206
	Pass-through from: Research Foundation of SUNY	
	10358 Gap Junctions and Ionic Currents in Developing Heart	86,059
	CFDA #93.837 Total	403,265
93.846	Arthritis, Musculoskeletal and Skin Diseases Research Direct: Baylor College of Medicine	
	10175 Modulation of Sarcoplasmic	42,425
	CFDA #93.846 Total	42,425

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research	
	Direct:	
	National Institute of Diabetes and Digestive and Kidney Diseases	
	10089 Photoperiodic Control of Obesity	504,990
	10578 Photoperiodic Control of Obesity	31,315
	10712 Pathophysiology of TLR5KO Colitis	329,859
	10698 Flagellin-Induced Gut Epithelial Chemokine Secretion	151,271
	10709 Role of Adaptive Immunity in the Development of Colitis	107,911
	10908 Role of Stearoyl CoA desaturase-1 TLR5 KO Mice Colitis and Metabolic Syndrome	62,490
	10786 Flagellin-Induced Gut Epithelial Chemokine Secretion	6,185
	10967 The AMP-activated protein Kinase (AMPK) antagonizes inflammation through SIRT	157,646
	National Institute of Health	
	10673 Neutrophil-Epithelial Interaction Mediated by Adenosine	151,347
	10675 Role and Regulation of Metalloproteinase-9 in the Intestine	253,444
	10674 Role of CD98 in Intestinal Permeability	194,304
	10660 Ghrelin: Behavioral, Anatomical, and Physiological Components of Obesity	8,674
	11070 Neutrophil-Epithelial Interaction Mediated by Adenosine	27,826
	11119 Role of CD98 Glycoprotein in Intestinal Inflammation	62,471
	Pass-through from: University of Cincinnati	
	J3912 Neuroendocrine	18,228
	CFDA #93.847 Total	2,067,961
93.848	Digestive Diseases and Nutrition Research	
	Direct:	
	National Institute of Diabetes and Digestive and Kidney Diseases	
	H3244 Peptidergic Control of Appetite	180,503
	CFDA #93.848 Total	180,503
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	
	Direct:	
	National Institute of Health	
	10659 Breathing disorders in a mouse model of Rhett Syndrome	356,373
	11017 Communication within the Suprachiasmatic Neural Circadian Network	66,360
	National Institute of Neurological Disorders and Stroke	
	10890 Heritability of Neuroanatomical Asymmetries in Primates	99,675
	10891 Hemispheric Specialization and Communication	334,471
	Pass-through from: Emory University	
	10191 Center for Injury Control	14,219
	CFDA #93.853 Total	871,098

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.855	Allergy, Immunology and Transplantation Research	
	Direct:	
	National Institute of Allergy and Infectious Diseases	
	10230 Heterocycle Cation Recognition of the DNA Minor Groove	163,050
	10231 Heterocycle Cation Recognition of the DNA Minor Groove	180,655
	10710 Influenza Vaccines Inducing Broadly Cross Protective Immunity	546,449
	10711 Novel Nanotechnology Based Oral Influenza Vaccines	276,067
	10926 Development of Point of Care Diagnostics for Norovirus	44,936
	10969 Alternative regulation of ISGs in WNV-infected cells	143,785
	11032 Supp Heterocycle Cation Recognition of the DNA Minor	40,283
	11073 Research and Development of a Novel System to Produce Polysaccharide Conjugate Va	148,564
	11121 VLP Vaccine Technology	112,560
	11162 Cryo-Electron and Biochemical Analysis of Native Paramyxovirus Fusion Complexes	112,398
	GLA52 Functional analysis of flavivirus	14,403
	Pass-through from:	
	University of Cincinnati	
	10795 Receptor Mimics for rapid detection, typing, and susceptibility testing of Influenza	166,243
	Trellis Bioscience	
	10780 Therapeutic Antibodies for Bacteria	92,068
	University of North Carolina	
	11141 Host-Directed Inhibitors of Myxovirus Replication	127,843
	University of South Florida	
	11247 New Therapies for Pathogenic Free-Living Amoebae	234
	CFDA #93.855 Total	2,169,538
93.859	Biomedical Research and Research Training	
	Direct:	
	National Institute of General Medical Sciences	
	10359 Chemical Approaches to Protein Arginine Methylation	136,892
	10691 Biosynthesis of Polysaccharides - GM085267	190,019
	I3521 Structural Biology of receptor	(20,260)
	J3433 CE-MS of Biological Substances	31,433
	J3814 Selection of Boronic Acid-modified Aptamers for Glycoproteins	137,835
	J3885 Collaborative Research for Novice Undergraduates	180,654
	J3941 Activity of Resistant Variants	217,424
	National Institute of Health	
	10869 Selenium-Derivatized RNA's and DNA's for High-Throughput Protein/Nucleic Acid Cryst	273,084
	Pass-through from:	
	Sena Research, Inc.	
	10539 Synthesis of Se-derivatized Nucleosides & Nucleotides	5,411
	CFDA #93.859 Total	1,152,492

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.865	Child Health and Human Development Extramural Research	
	Direct:	
	National Institute of Child Health and Human Development	
	10074 HIV Risk Reduction for South African Youth	2,983
	10461 Biobehavioral Foundations and Development of Cognitive	725,094
	10688 Investigation on Oligosaccharides as Antimicrobial and Prebiotics	430,010
	13541 Studies of chimpanzee spatial	30,588
	13755 The Development of Joint Attention After Infancy	298,541
	11082 Basis of Reading Deficits in African-American Children: Disentangling Learning Disabilities, Dialect, Oral, Language and Poverty	153,296
	National Institute of Health	
	10377 Measurement of Attention Bias in Diverse Populations	4,450
	10932 Neural Correlates of Language and Communication	76,110
	Pass-through from: University of Connecticut	
	J3851 Early Detection of Pervasive Development Disorders	256,541
	University of Pittsburgh	
	10376 Lexical Processes and Comprehension Skill: ERP and behavioral studies of Word Text	14,543
	11080 Trial to Reduce IDDM in the Genetically at Risk: A Nutritional Primary Prevention	24,083
	State University of New York	
	10278 Metacognition in Comparative	123,747
	CFDA #93.865 Total	2,139,986
93.866	Aging Research	
	Pass-through from: University of Minnesota	
	J3973 Interaction of estrogen, age and activity on musculoskeletal strength in females	24,661
	University of Wisconsin-Madison	
	10180 The Wisconsin Longitudinal Study	1,821
	CFDA #93.866 Total	26,482
93.867	Vision Research	
	Direct: National Eye Institute/NIH/DHHS	
	H3089 CMV Retinitis	54,112
	CFDA #93.867 Total	54,112
93.941	HIV Demonstration, Research, Public and Professional Education Projects	
	Pass-through from: University of California, San Francisco	
	H3335 Research to Evaluate an Effect	10,731
	CFDA #93.941 Total	10,731
93.978	Preventive Health Services-Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants	
	Direct: Center for Disease Control and Prevention	
	13510 Interactive Decision-Support Tool to maximize Chlamydia and Gonorrhea Screening	2,545
	CFDA #93.978 Total	2,545

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures	
93.989	International Research and Research Training Direct: Fogarty International Center/NIH/DHHS	10656	Speech and Language Delays in Children with Neurodevelopmental Disorders	39,728
			CFDA #93.989 Total	39,728
93.OFA	Department of Health and Human Services - Other Financial Assistance Pass-through from: National Association of Chronic Diseases Emory University	10765	A Chronic Disease Prevention and Health Promotion	14,710
		10824	Improving Impact of a Child Maltreatment Prevention Program	7,449
			CFDA #93.OFA Total	22,159
Total Department of Health and Human Services				18,132,100
Department of Homeland Security				
97.061	Centers for Homeland Security Pass-through from: University of Maryland	11250	Center for the study of Terrorism & Behavior--Using Experimental Research to Study the Dynamics of Radicalization, Terrorism, and Counterterrorism	19,227
			CFDA #97.061 Total	19,227
Total Department of Homeland Security				19,227
Agency for International Development				
98.OFA	United States Agency for International Development - Other Financial Assistance Pass-through from: Higher Education For Development Development Alternatives Incorporated	J3537	Egypt: Enhancing Capacity for Research in Economics	10,416
		10263	Jordan Fiscal Reform II	36,810
			CFDA #98.OFA Total	47,226
Total Agency for International Development				47,226
			Total Research & Development Cluster	34,453,561

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
TRIO Cluster			
Department of Education			
84.044	TRIO-Talent Search		
	Direct:		
	U. S. Department of Education		
	J3443 Educational Talent Search/DeKalb		67,180
	11019 Educational Talent Search- Dekalb		204,921
	CFDA #84.044 Total		272,101
84.047	TRIO-Upward Bound		
	Direct:		
	U. S. Department of Education		
	10095 Veterans Upward Bound		298,035
	I3599 Upward Bound-Atlanta/Gwinnett		(9,696)
	J3444 Upward Bound Math-Science		127,941
	J3534 Upward Bound-Dekalb Proposal		133,196
	CFDA #84.047 Total		549,476
84.066	TRIO-Educational Opportunity Centers		
	Direct:		
	U. S. Department of Education		
	10729 Educational Opportunity Center		310,961
	CFDA #84.066 Total		310,961
84.217	TRIO-McNair Post-Baccalaureate Achievement		
	Direct:		
	U. S. Department of Education		
	J3464 Georgia State University Ronald E. McNair Post Baccalaureate		165,090
	CFDA #84.217 Total		165,090
Total Department of Education			1,297,628
Total TRIO Cluster			1,297,628
Other Federal Programs			
Department of Housing and Urban Development			
14.248	Community Development Block Grants		
	Pass-through from:		
	Atlanta Housing Authority		
	10979 Training Services for the Good Neighbor Program		113,764
	CFDA #14.248 Total		113,764
Total Department of Housing and Urban Development			113,764
Department of Justice			
16.541	Part E - Developing, Testing and Demonstrating Promising New Programs		
	Direct:		
	U.S. Department of Justice		
	J3893 Services and Supports for Children at Risk: Intervening		(24,945)
	CFDA #16.541 Total		(24,945)

CFDA Number	<u>Program Title/ Federal Grantor/ Pass-Through Grantor/ Project</u>	Federal Expenditures
16.753	Congressionally Recommended Awards	
	Direct:	
	U.S. Department of Justice	
	10119 HIV+ Prisoner Re-entry Project	5,085
	CFDA #16.753 Total	5,085
Total Department of Justice		(19,860)
 National Endowment for the Humanities		
45.024	Promotion of the Arts	
	Direct:	
	National Endowment of the Arts and the Humanities	
	10441 Rialto International Series Performances	1
	10558 Rialto International Series Performances and Education	25,000
	CFDA #45.024 Total	25,001
Total National Endowment for the Humanities		25,001
 National Science Foundation		
47.049	Mathematical and Physical Sciences	
	Pass-through from:	
	National Raio Astronomy Observation	
	11172 HI Spectroscopy of Reverberation-Mapped AGN Host Galaxies	1,803
	CFDA #47.049 Total	1,803
47.074	Biological Sciences	
	Direct:	
	National Science Foundation	
	10993 The role of neurosteroids in regulating life-history transitions in Bluebanded Gobies (Pradhan, Devaleena Shilpi)	782
	11161 Conference: Southeast Enzyme Conference; to be held April 20, 2013 in Atlanta, GA	1,989
	CFDA #47.074 Total	2,771
47.075	Social, Behavioral, and Economic Sciences	
	Direct:	
	National Science Foundation	
	10416 Atlanta Census Research Data Center	94,220
	10873 Atlanta Competitive Advantage Conference PhD Student	10,231
	11166 Atlanta Competitive Advantage Conference PhD Student Workshop	36,738
	CFDA #47.075 Total	141,189

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
47.076	Education and Human Resources		
	Direct:		
	National Science Foundation		
	10090 Collaborative Research: Linear Algebra in New		6,472
	10310 Mathematics Attainment from Multiple Perspectives		12,878
	10602 Collaborative Research: Chemistry Coalitions, Workshops and Communities of Scholars		408,439
	J3498 S-STEM Scholarships in Chemistry		152,908
	Pass-through from:		
	Clark Atlanta University		
	10184 Graduate Fellowship in Analytical Chemistry		62,165
	CFDA #47.076 Total		642,862
47.080	Office of Cyberinfrastructure		
	Direct:		
	National Science Foundation		
	10852 REU Site: Summer Research for Undergraduates in High Performance Data Mining		93,021
	CFDA #47.080 Total		93,021
47.082	Trans-NSF Recovery Act Research Support		
	Direct:		
	National Science Foundation		
	J3979 ARRA- Impacting Metro Atlanta Science Teaching		137,756
	CFDA #47.082 Total		137,756
Total National Science Foundation			1,019,402
Small Business Administration			
59.037	Small Business Development Centers		
	Pass-through from:		
	University of Georgia		
	10156 Small Business Development Center		(1)
	10763 Small Business Development Center		111,582
	11110 Small Business Development Center		141,757
	CFDA #59.037 Total		253,338
Total Small Business Administration			253,338
Department of Education			
84.116	Fund for the Improvement of Postsecondary Education		
	Direct:		
	US Department of Education		
	10060 A Dual Degree in International Economics and Modern Language		70,228
	10361 Developing Globally Literate Teachers through Excellence		61,274
	CFDA #84.116 Total		131,502
84.129	Rehabilitation Long-Term Training		
	Direct:		
	US Department of Education		
	10131 Rehabilitation Long Term Train		2,847
	10906 Rehabilitation Long Term Training		168,329
	CFDA #84.129 Total		171,176

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
84.200A	Graduate Assistance in Areas of National Need Direct: US Department of Education		
		11074 Interdisciplinary Biology and Chemistry Doctoral Support through GAANN	76,879
		CFDA #84.200A Total	76,879
84.215	Fund for the Improvement of Education Pass-through from: DeKalb County School District		
		10217 Dekalb County - Teaching American History	2,746
		CFDA #84.215 Total	2,746
84.257	Territories and Freely Associated States Education Grant Program Pass-through from: Kent State University		
		10167 National Institute for Literacy's Diversity	(269)
		CFDA #84.257 Total	(269)
84.305	Education Research, Development and Dissemination Pass-through from: DeKalb County Board of Health		
		10366 Math-Science Transition to Teaching Program	43,142
		CFDA #84.305 Total	43,142
84.326	Special Education-Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities Direct: U.S. Department of Education		
		J3500 Georgia Sensory Assistance	320,515
		CFDA #84.326 Total	320,515
84.335	Teacher Quality Enhancement Grants Direct: U.S. Department of Education		
		10125 Child Care Means Parents in School	193,939
		CFDA #84.335 Total	193,939
84.350	Teacher Quality Enhancement Grants Direct: U.S. Department of Education		
		10743 Quality Instruction for English Learners (QIEL)	414,192
		CFDA #84.350 Total	414,192
84.367	Improving Teacher Quality State Grants Pass-through from: University of Georgia		
		10520 Enhancing Middle Level Mathematics Teachers'	(2,749)
		10542 Sci-berspace 2.0 Project: Using Web 2.0 Tools in 4th	(710)
		10875 Collaborative for Mathematics and Science Achievement II	25,445
		11191 Partnering to Enhance the teaching of Analytic Geometry	35,350
		CFDA #84.367 Total	57,336

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
84.396	Investing in Innovation Pass-through from: Ohio State University	
	10453 ARRA- Reading Recovery: Scaling Up What Works	230,888
	CFDA #84.396 Total	230,888
Total Department of Education		1,642,046
National Archives and Records Administration		
89.003	National Historical Publications and Records Grants Direct: National Archives and Records Administration	
	10537 The PATCO Records Digitization Project	30,716
	CFDA #89.003 Total	30,716
Total National Archives and Records Administration		30,716
Department of Health and Human Services		
93.070	Environmental Public Health and Emergency Response Pass-through from: Georgia Department of Public Health	
	10761 Asthma Control Plan Development	7,636
	CFDA #93.070 Total	7,636
93.110	Maternal and Child Health Federal Consolidated Programs Direct: Maternal and Child Health Bureau	
	10696 State Implementation Grants for Improving Services	102,096
	CFDA #93.110 Total	102,096
93.173	Research Related to Deafness and Communication Disorders Direct: National Institute of Health	
	10291 NJC Communication Intervention Research Conference	(56)
	CFDA #93.173 Total	(56)
93.191	Graduate Psychology Education Program Direct: National Institute of Health	
	10378 Enhanced Training of Graduate students to Work with disadvantaged populations	110,031
	CFDA #93.191 Total	110,031
93.242	Mental Health Research Grants Direct: National Institute of Health	
	11261 The Institute on Neuroscience Summer Research Immersion for High School Students and Teachers	3,105
	CFDA #93.242 Total	3,105

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
93.243	Substance Abuse and Mental Health Services Pass-through from: Georgia Center for Child Advocacy		
		11104 National Child Traumatic Stress Initiative, Community Treatment and Service Center Application	29,795
		CFDA #93.243 Total	29,795
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance Pass-through from: Georgia Center for Oncology Research and Education		
	National Network of Public Health	11197 Georgia CORE Project Support Services	6,500
		10850 Promoting Health Policy & Disease Prevention	383,763
		10886 Health Impact Assessment Training and Technical Assistance	41,586
		11176 Systems Dynamics Model Use and Design	29,650
		CFDA #93.283 Total	461,499
93.359	Prevention and Public Health Fund Direct: Department of Health and Human Services		
		10085 Nursing Leadership in Nursing	169,590
		CFDA #93.359 Total	169,590
93.389	National Center for Research Resources Direct: Department of Health and Human Services		
		J3531 Helping K-12 Students Become Fluent in the Language of DNA	211,716
		CFDA #93.389 Total	211,716
93.513	Advanced Nursing Education Expansion Initiative Direct: Department of Health and Human Services		
		10424 Advanced Nursing Education Expansion	193,531
		CFDA #93.513 Total	193,531
93.531	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants Pass-through from: Research Triangle Institute		
		10892 Use of System Dynamics Models with CTG	166,388
		CFDA #93.531 Total	166,388
93.558	Temporary Assistance for Needy Families Pass through from: Georgia Department of Human Services		
		10723 GA DHS 2012 SafeCare Training	92,844
		10390 SafeCare Continuation and Expansion	571
		CFDA #93.558 Total	93,415
93.575	Child Care and Development Block Grant Pass-through from: Georgia Department of Early Care and Learning		
		11215 Pre-Kindergarten Technology Enhancements	847
		CFDA #93.575 Total	847

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
93.631	Developmental Disabilities Projects of National Significance Pass through from: Parent to Parent of Georgia	
	10272 Developing and Delivery of Training on Person-Centered Planning	12,620
	CFDA #93.631 Total	12,620
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service Direct: Admin Developmental Disabilities	
	10037 University Centers for Excellence in Developmental Disabilities	612,329
	10139 Disability Partnership between GSU and Morehouse	103,534
	Pass-through from: Association of University Centers on Disabilities	
	10823 Think College: Promoting Inclusive Post-Secondary Ed Options	12,801
	CFDA #93.632 Total	728,664
93.670	Child Abuse and Neglect Discretionary Activities Pass-through from: Rady Children's Hospital-San Diego	
	J3831 Subcontract from California Safe Kids Project	(8,695)
	10629 California Safe Kids Project	(4,678)
	CFDA #93.670 Total	(13,373)
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders Direct: National Institute of Health	
	10972 Assessing Strategy Use in Diverse Older Adults During Verbal Learning Tests	28,476
	Pass through from: Emory University	
	10093 Preventative Intervention for Victims	148,035
	CFDA #93.853 Total	176,511
93.859	Biomedical Research and Research Training Direct: National Institute of Health	
	10548 BP-ENDURE-Atlanta: Engaging Undergraduates	404,305
	CFDA #93.859 Total	404,305

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project		Federal Expenditures
93.958	Block Grants for Community Mental Health Services Pass-through from: University of Washington		
		10921 University of Washington Subcontract	26,180
		CFDA #93.958 Total	26,180
93.969	2012 Geriatric Education Centers Pass-through from: Emory University		
		10529 Atlanta Regional Geriatric Education Center (ARGEC)	56,821
		CFDA #93.969 Total	56,821
93.0FA	Department of Health and Human Services - Other Financial Assistance Direct: Office of Public Health and Science/DHHS		
		10923 STELLA Model Training	2,500
	Pass-through from: DeKalb County Board of Health	10813 ARRA- External Evaluation Services- Obesity	16,562
	C2 Technologies, Inc.	10817 Health Policy Analysis - Course Design Guide	12,743
	SciMetrika, LLC	10879 Public Budgeting and Financial Management Training for CDC's Public Health Prevention Service	81,167
		CFDA #93.0FA Total	112,972
Total Department of Health and Human Services			3,054,293
Corporation for National and Community Service			
94.006	AmeriCorps Pass-through from: Georgia Department of Community Affairs		
		11026 TEAM: Technology, Environment, and Movement Program (TEAM 5)	200,273
		10713 TEAM 2: Technology, Environment and Movement Program	12,556
	Jumpstart National	11025 JumpStart 2013	49,753
		10697 Jumpstart 2012	9,424
		CFDA #94.006 Total	272,006
Total Corporation for National and Community Service			272,006

CFDA Number	Program Title/ Federal Grantor/ Pass-Through Grantor/ Project	Federal Expenditures
Agency for International Development		
98.001	USAID Foreign Assistance for Programs Overseas	
	Pass-through from:	
	Higher Education for Development	
	J3812 Strengthening English Language	1
	CFDA #98.001 Total	<u>1</u>
98.012	USAID Development Partnership for University Cooperation and Development	
	Pass-through from:	
	Higher Education for Development	
	10802 Egypt: Aligning Business Education with Private Sector	85,765
	J3813 Dual Master's Degree Program in Applied Economics for Indonesians	306,840
	I3472 Egypt: Aligning Business Education with Private Sector	(6,873)
	CFDA #98.012 Total	<u>385,732</u>
98.OFA	Agency for International Development- Other Financial Assistance	
	Direct:	
	U.S. Agency for International Development	
	10219 Dual Master's Degree Program in Applied Economics for Indonesians	1,297,269
	CFDA #98.OFA Total	<u>1,297,269</u>
Total Agency for International Development		<u>1,683,002</u>
	Total Other Federal Programs	<u>8,073,708</u>
	Total Expenditures of Federal Awards	<u>\$ 43,824,897</u>

**GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION, INC. AND AFFILIATE
(A Component Unit of the State of Georgia)**

EXHIBIT II

**SUPPLEMENTAL SCHEDULE OF FEDERAL AWARDS PROVIDED TO SUBRECIPIENTS
FOR THE YEAR ENDED JUNE 30, 2013**

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
<i>Research and Development Cluster</i>		
Food Assistance and Nutrition Research Programs	10.253	\$ 48,768
Systems Interoperability	10.0FA	562
Military Medical Research and Development	12.420	61,565
Science	43.001	84,370
National Aeronautics and Space Administration - Other Financial Assitance	43.0FA	74,987
Mathematical and Physical Sciences	47.049	83,800
Social, Behavioral, and Economic Sciences	47.075	67,432
Education Research, Development and Dissemination	84.305	798,850
Research in Special Education	84.324	1,771,111
Teacher Quality Partnership Grants	84.336	619,233
Innovations in Applied Public Health Research	93.061	52,140
Enhance Safety of Children Affected by Substance Abuse	93.087	103,129
Maternal and Child Health Federal Consolidated Programs	93.110	44,852
Injury Prevention and Control Research and State and Community Based Programs	93.136	1,600
Research Related to Deafness and Communication Diseases	93.173	12,127
Mental Health Research Grants	93.242	168,479
Alcoholic Research Programs	93.273	218,674
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	34,054
Trans-NIH Recovery Act Research Support	93.701	7,796
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	10,710
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	308,386
Allergy, Immunology and Transplantation Research	93.855	154,219
Biomedical Research and Research Training	93.859	3,644
Child Health and Human Development Extramural Research	93.865	353,688
International Research and Research Training	93.989	16,244
		<hr/>
<i>Subtotal Amount Provided to Subrecipients (R&D Cluster)</i>		5,100,420
<i>Other Programs</i>		
Education and Human Resources	47.076	136,950
Fund for the Improvement of Postsecondary Education	84.116	19,703
University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632	60,279
Biomedical Research and Research Training	93.859	251,973
		<hr/>
<i>Subtotal Amount Provided to Subrecipients (Other Programs)</i>		468,905
<i>Total Amount Provided to Subrecipients</i>		<u><u>\$ 5,569,325</u></u>